

**Introduction to  
Quantum Information Processing  
QIC 710 / CS 768 / PH 767 / CO 681 / AM 871**

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# Schmidt decomposition

# Schmidt decomposition

## Theorem:

Let  $|\psi\rangle$  be **any** bipartite quantum state:

$$|\psi\rangle = \sum_{a=1}^m \sum_{b=1}^n \alpha_{a,b} |a\rangle \otimes |b\rangle \quad (\text{where we can assume } n \leq m)$$

Then there exist orthonormal states

$|\mu_1\rangle, |\mu_2\rangle, \dots, |\mu_n\rangle$  and  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  such that

- $|\psi\rangle = \sum_{c=1}^n \sqrt{p_c} |\mu_c\rangle \otimes |\varphi_c\rangle$
- $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  are the eigenvectors of  $\text{Tr}_1 |\psi\rangle\langle\psi|$

# Schmidt decomposition: proof (1)

The density matrix for state  $|\psi\rangle$  is given by  $|\psi\rangle\langle\psi|$

Tracing out the first system, we obtain the density matrix of the second system,  $\rho = \text{Tr}_1 |\psi\rangle\langle\psi|$

Since  $\rho$  is a density matrix, we can express  $\rho = \sum_{c=1}^n p_c |\varphi_c\rangle\langle\varphi_c|$ ,

where  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  are orthonormal eigenvectors of  $\rho$

Now, returning to  $|\psi\rangle$ , we can express  $|\psi\rangle = \sum_{c=1}^n |v_c\rangle \otimes |\varphi_c\rangle$ , where  $|v_1\rangle, |v_2\rangle, \dots, |v_n\rangle$  are **just some arbitrary vectors** (not necessarily valid quantum states; for example, they might not have unit length, and we cannot presume they're orthogonal)

# Schmidt decomposition: proof (2)

**Claim:**  $\langle v_c | v_{c'} \rangle = \begin{cases} p_c & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$

**Proof of Claim:** Compute the partial trace  $\text{Tr}_1$  of  $|\psi\rangle\langle\psi|$  from

$$|\psi\rangle\langle\psi| = \left( \sum_{c=1}^n |v_c\rangle \otimes |\varphi_c\rangle \right) \left( \sum_{c'=1}^n \langle v_{c'}| \otimes \langle \varphi_{c'}| \right) = \sum_{c=1}^n \sum_{c'=1}^n |v_c\rangle\langle v_{c'}| \otimes |\varphi_c\rangle\langle \varphi_{c'}|$$

Note that: $\text{Tr}_1(A \otimes B) = \text{Tr}(A) \cdot B$	Example: $\text{Tr}_1(\rho \otimes \sigma) = \sigma$
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$$\begin{aligned} \text{Tr}_1 \left( \sum_{c=1}^n \sum_{c'=1}^n |v_c\rangle\langle v_{c'}| \otimes |\varphi_c\rangle\langle \varphi_{c'}| \right) &= \sum_{c=1}^n \sum_{c'=1}^n \text{Tr}(|v_c\rangle\langle v_{c'}|) |\varphi_c\rangle\langle \varphi_{c'}| \quad (\text{linearity}) \\ &= \sum_{c=1}^n \sum_{c'=1}^n \langle v_{c'} | v_c \rangle |\varphi_c\rangle\langle \varphi_{c'}| \end{aligned}$$

Since  $\sum_{c=1}^n \sum_{c'=1}^n \langle v_{c'} | v_c \rangle \otimes |\varphi_c\rangle\langle \varphi_{c'}| = \sum_{c=1}^n p_c |\varphi_c\rangle\langle \varphi_c|$  the claim follows

# Schmidt decomposition: proof (3)

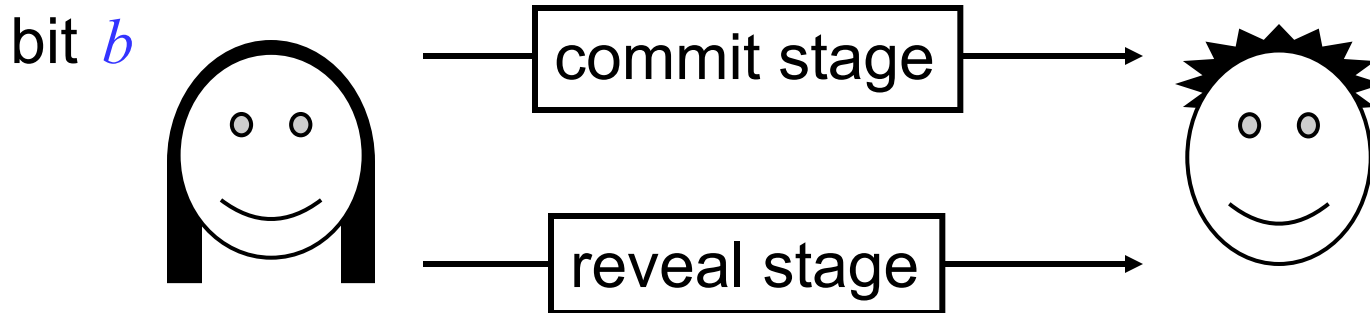
Normalize the  $|v_c\rangle$  by setting  $|\mu_c\rangle = \frac{1}{\sqrt{p_c}}|v_c\rangle$

$$\text{Then } \langle \mu_c | \mu_{c'} \rangle = \begin{cases} 1 & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$$

$$\text{and } |\psi\rangle = \sum_{c=1}^n \sqrt{p_c} |\mu_c\rangle \otimes |\varphi_c\rangle$$

# The story of bit commitment

# Bit-commitment



- Alice has a bit  $b$  that she wants to **commit** to Bob:
- After the **commit** stage, Bob should know nothing about  $b$ , but Alice should not be able to change her mind
- After the **reveal** stage, either:
  - Bob should learn  $b$  and accept its value, or
  - Bob should reject Alice's reveal message, if she deviates from the protocol



# Simple physical implementation

- **Commit:** Alice writes  $b$  down on a piece of paper, locks it in a safe, sends the safe to Bob, but keeps the key
- **Reveal:** Alice sends the key to Bob, who then opens the safe
- Desirable properties:
  - **Binding:** Alice cannot change  $b$  after **commit**
  - **Concealing:** Bob learns nothing about  $b$  until **reveal**

**Question:** why should anyone care about bit-commitment?

**Answer:** it is a useful primitive operation for other protocols, such as coin-flipping, and “zero-knowledge proof systems”

# Complexity-theoretic implementation

Based on a **one-way function**\*  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  and a **hard-predicate**  $h: \{0,1\}^n \rightarrow \{0,1\}$  for  $f$

**Commit:** Alice picks a random  $x \in \{0,1\}^n$ , sets  $y = f(x)$  and  $c = b \oplus h(x)$  and then sends  $y$  and  $c$  to Bob

**Reveal:** Alice sends  $x$  to Bob, who verifies that  $y = f(x)$  and then sets  $b = c \oplus h(x)$

This is (i) perfectly binding and (ii) computationally concealing, based on the hardness of predicate  $h$

\* should be one-to-one

# Quantum implementation (1)

- Inspired by the success of QKD, one can try to use the properties of quantum mechanical systems to design an information-theoretically secure bit-commitment scheme
- One simple idea:
  - To **commit** to **0**, Alice sends a random sequence from  $\{|0\rangle, |1\rangle\}$
  - To **commit** to **1**, Alice sends a random sequence from  $\{|+\rangle, |-\rangle\}$
  - Bob measures each qubit received in a random basis
  - To **reveal**, Alice tells Bob exactly which states she sent in the commitment stage (by sending its index 00, 01, 10, or 11), and Bob checks for consistency with his measurement results

## Intuition:

Typical commitment to **0**:  $|0\rangle|1\rangle|1\rangle|0\rangle|0\rangle|1\rangle|0\rangle|1\rangle|0\rangle|0\rangle|1\rangle|0\rangle|1\rangle|1\rangle|0\rangle$

Typical commitment to **1**:  $|-\rangle|-\rangle|+\rangle|-\rangle|+\rangle|+\rangle|+\rangle|-\rangle|+\rangle|+\rangle|-\rangle|+\rangle|-\rangle|-\rangle|+\rangle|-\rangle$

# Quantum implementation (2)

A paper appeared in 1993 proposing a quantum bit-commitment scheme and a proof of security

# Impossibility proof (I)

- Not only was the 1993 scheme shown to be insecure, but it was later shown that ***no such scheme can exist!***
- To understand the impossibility proof, recall the ***Schmidt decomposition:***

Let  $|\psi\rangle$  be any bipartite quantum state:

$$|\psi\rangle = \sum_{a=1}^n \sum_{b=1}^n \alpha_{a,b} |a\rangle |b\rangle$$

Then there exist orthonormal states

$|\mu_1\rangle, |\mu_2\rangle, \dots, |\mu_n\rangle$  and  $|\phi_1\rangle, |\phi_2\rangle, \dots, |\phi_n\rangle$  such that

$$|\psi\rangle = \sum_{c=1}^n \beta_c |\mu_c\rangle |\phi_c\rangle$$



**Eigenvectors of  $\text{Tr}_1 |\psi\rangle\langle\psi|$**

# Impossibility proof (II)

- **Corollary:** if  $|\psi_0\rangle, |\psi_1\rangle$  are two bipartite states such that  $\text{Tr}_1|\psi_0\rangle\langle\psi_0| = \text{Tr}_1|\psi_1\rangle\langle\psi_1|$  then there exists a unitary  $U$  (acting on the first register) such that  $(U \otimes I)|\psi_0\rangle = |\psi_1\rangle$

- **Proof:**

$$|\psi_0\rangle = \sum_{c=1}^n \beta_c |\mu_c\rangle |\phi_c\rangle \quad \text{and} \quad |\psi_1\rangle = \sum_{c=1}^n \beta_c |\mu'_c\rangle |\phi_c\rangle$$

We can define  $U$  so that  $U|\mu_c\rangle = |\mu'_c\rangle$  for  $c = 1, 2, \dots, n$  ■

- Protocol can be “purified” so that Alice’s commit states are  $|\psi_0\rangle$  &  $|\psi_1\rangle$  (where she sends the second register to Bob)
- By applying  $U$  to her register, **Alice can change her commitment** from  $b = 0$  to  $b = 1$  (by changing  $|\psi_0\rangle$  to  $|\psi_1\rangle$ )

# Separable states

(very briefly)

# Separable states

A bipartite (i.e. two register) state  $\rho$  is a:

- **product state** if  $\rho = \sigma \otimes \xi$

- **separable state** if  $\rho = \sum_{j=1}^m p_j \sigma_j \otimes \xi_j$  ( $p_1, \dots, p_m \geq 0$ )

- **entangled** = not separable

(i.e. a probabilistic mixture of product states)

Since mixed states might be expressible as a mixture in several different ways, determining whether they are separable is tricky

**Question:** which of the following states are separable?

$$\rho_1 = \frac{1}{2} (|00\rangle + |11\rangle)(\langle 00| + \langle 11|)$$

$$\rho_2 = \frac{1}{2} (|00\rangle + |11\rangle)(\langle 00| + \langle 11|) + \frac{1}{2} (|00\rangle - |11\rangle)(\langle 00| - \langle 11|)$$



# Continuous-time evolution

(very briefly)

# Continuous-time evolution

Although we've expressed quantum operations in discrete terms, in real physical systems, the evolution is continuous

Let  $H$  be any **Hermitian** matrix and  $t \in \mathbf{R}$

Then  $e^{iHt}$  is **unitary** — why?

$H$  is called a **Hamiltonian**

$$H = U^\dagger D U, \text{ where } D = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_d \end{pmatrix}$$

$$e^{iHt} = U^\dagger e^{iDt} U = U^\dagger \begin{pmatrix} e^{i\lambda_1 t} & & \\ & \ddots & \\ & & e^{i\lambda_d t} \end{pmatrix} U \quad (\text{unitary})$$

