#### Introduction to Quantum Information Processing QIC 710 / CS 768 / PH 767 / CO 681 / AM 871

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## Classical error correcting codes

## **Classical error-correcting codes**

Useful for:

- transmitting information through a *noisy communication channel*
- storing information in a *noisy storage medium*

Noisy means the states of bits can change (usually unpredictably)



One simple noise model is the *binary symmetric channel*, where each bit flips with probability  $\epsilon$  (independently)



## **3-bit repetition code**

One way of coping with this noisy channel:

- Encode each bit *b* as *bbb*
- Decode each received message  $b_1b_2b_3$  as majority( $b_1, b_2, b_3$ )

#### Is this useful?

It reduces the effective error probability per data bit to  $3\epsilon^2 - 2\epsilon^3$  why?

3	$3\varepsilon^2 - 2\varepsilon^3$	error reduced by a factor of
0.10	0.009	11
0.01	0.0001	100
0.001	0.000001	1000

E.g., if  $\varepsilon = 0.10$  and this is applied to *n*-bit messages then <1% of the *n* bits will be in error (rather than 10%)

... but this is at a cost of tripling the message length ("rate" is 1/3)

**Repetition > 3 times:** a smaller effective error probability; but worse rate

#### Can one do better?

For a given error rate  $\epsilon$ , what's the "best" that can be done?

## A rough "big picture" view (1)

An *error-correcting code* can be viewed as two mappings:

- Encoding function  $E: \{0,1\}^n \longrightarrow \{0,1\}^m$
- Decoding function  $D: \{0,1\}^m \longrightarrow \{0,1\}^n$

We assume some error model  $\chi$  (including  $\epsilon$ ) is given to us by the hardware

#### Some considerations:

- Error probability of the code: probability that  $D(\chi(E(x_1x_2...x_n))) \neq x_1x_2...x_n)$
- Rate of the code: *n/m*

**Amazing**\* **fact:** For any constant  $\varepsilon < 1/2$ , there is a **constant** rate sufficient to attain **arbitrarily small** error probability of the code

Message: 0100110101110101 any *n*-bit string

Encoding: 011001101010010111101010111010 (*m* bits) *constant* expansion

Errors: 01001110101010110110110110110 *constant fraction* of the bits Decoding: 0100110101110101 *perfect* recovery of *n*-bit string with probability  $\rightarrow 1$ 

## A rough "big picture" view (2)

Rate as a function of noise level ε (assume binary symmetric channel)



For noise level  $\varepsilon$ , can attain arbitrarily high recovery probability with rate arbitrarily close to  $R(\varepsilon)$  (and exceeding  $R(\varepsilon)$  is provably impossible)

#### Some further considerations:

• Block length *n* 

(as the recovery probability  $\rightarrow 1$ , block length  $\rightarrow \infty$ )

 Computational efficiency: how difficult it is to compute *E* and *D* (this is tricky, but polynomial-time—and practical—approaches exist)

#### Question: What about *quantum* error correcting codes?

#### **Quantum repetition code?**



## Shor's 9-qubit code

#### **3-qubit code for one** *X***-error**

The following 3-qubit quantum code protects against up to one error, *if* the error can only be a quantum bit-flip (an *X* operation)



Error can be any one of: $I \otimes I \otimes I$  $X \otimes I \otimes I$  $I \otimes X \otimes I$  $I \otimes I \otimes X$ Corresponding syndrome: $|00\rangle$  $|11\rangle$  $|10\rangle$  $|01\rangle$ 

The essential property is that, in each case, the data  $\alpha |0\rangle + \beta |1\rangle$  is shielded from (i.e., unaffected by) the error

What about Z errors?

This code leaves them intact: one Z error is equivalent to a Z operation on the original data

#### **3-qubit code for one** *Z***-error**

Using the fact that HZH = X, one can adapt the previous code to protect against *Z*-errors instead of *X*-errors



Error can be any one of:  $I \otimes I \otimes I = Z \otimes I \otimes I = I \otimes Z \otimes I = I \otimes I \otimes Z \otimes I =$ 

This code leaves *X*-errors intact

Is there a code that protects against errors that are arbitrary one-qubit unitaries?

#### Shor's 9-qubit quantum code



The "inner" part corrects any single-qubit *X*-error The "outer" part corrects any single-qubit *Z*-error Since Y = iXZ, single-qubit *Y*-errors are also corrected

#### Arbitrary one-qubit errors

Suppose that the error is some arbitrary one-qubit unitary operation U

Since there exist scalars  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$  and  $\lambda_4$ , such that

 $U = \lambda_1 I + \lambda_2 X + \lambda_3 Y + \lambda_4 Z$ 

a straightforward calculation shows that, when a *U*-error occurs on the  $k^{\text{th}}$  qubit, the output of the decoding circuit is

 $(\alpha|0\rangle + \beta|1\rangle)(\lambda_1|s_{e_1}\rangle + \lambda_2|s_{e_2}\rangle + \lambda_3|s_{e_3}\rangle + \lambda_4|s_{e_4}\rangle)$ 

where  $s_{e_1}$ ,  $s_{e_2}$ ,  $s_{e_3}$  and  $s_{e_4}$  are the syndromes associated with the four errors (*I*, *X*, *Y* and *Z*) on the  $k^{\text{th}}$  qubit

Hence the code actually protects against *any* unitary one-qubit error (in fact the error can be any one-qubit quantum operation)



Can recover data from *any* 1 qubit error:



Moreover, it turns out the data can also be recovered data from *any* 2 qubit *erasure* error:





#### Introduction to CSS codes

CSS codes (named after Calderbank, Shor, and Steane) are quantum error correcting codes that are constructed from classical errorcorrecting codes with certain properties

A classical *linear* code is one whose codewords (a subset of  $\{0,1\}^m$ ) constitute a vector space

In other words, they are closed under linear combinations (here the underlying field is  $\{0,1\}$  so the arithmetic is mod 2)

#### **Examples of linear codes**

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For m = 7, consider these codes (which are linear):

C_{2} = \{0000000, 1010101, 0110011, 1100110, 0001111, 1011010, 0111100, 1101001\}
C_{1} = \{0000000, 1010101, 0110011, 1100110, 0001111, 1011001, 0111100, 0111000, 1101001, 1101001, 1101001, 1101001, 001100, 0011001, 1110000, 0100101, 1000011, 0010110\}
```

Note that the minimum Hamming distance between any pair of codewords is: 4 for  $C_2$  and 3 for  $C_1$ 

The minimum distances imply each code can correct one error

These two codes will serve as a running example of a CSS code

#### **Orthogonal complement**

For a linear code *C*, define its *orthogonal complement* as

$$C^{\perp} = \{ w \in \{0,1\}^m : \text{ for all } v \in C, w \cdot v = 0 \}$$
  
(where  $w \cdot v = \sum_{j=1}^m w_j v_j \mod 2$ , the "dot product")

Note that, in the previous example,  $C_2^{\perp} = C_1$  and  $C_1^{\perp} = C_2$ 

$$C_2 = \{0000000, 1010101, 0110011, 1100110, 0001111, 1011010, 0111100, 1101001\}$$

$$C_1 = \{0000000, 1010101, 0110011, 1100110, 0001111, 1011010, 0111100, 1101001, 1111111, 0101010, 1001100, 0011001, 1110000, 0100101, 1000011, 0010110\}$$

We will use some of these properties in the CSS construction

## Encoding

Since ,  $|C_2| = 8$ , it can encode 3 bits

To encode a 3-bit string  $b = b_1 b_2 b_3$  in  $C_2$ , one multiplies [ $b_1 b_2 b_3$ ] (on the right) by an appropriate 3×7 *generator matrix* 

$$G = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$
(generator for  $C_2$ )

Similarly,  $C_1$  can encode 4 bits and an appropriate generator matrix for  $C_1$  is

(generator for  $C_1$ )

## Parity check matrix

Every *n*-dimensional linear code can be alternately specified by its *parity-check matrix* M(m by m-n) such that:

 $v \in \{0,1\}^m$  is a codeword v if and only if vM = 0

**Exercise:** determine the parity check matrix for  $C_1$  and for  $C_2$ 

#### Error syndrome of an error vector

For any *error-vector*  $e \in \{0,1\}^m$ , the damaged data is v+e (addition mod 2) Note that (v+e)M = eM, and define the *error syndrome* of e as  $s_e = eM$ 

**Exercise:** for  $C_1$  and for  $C_2$ , work out the error syndromes for all  $e \in \{0,1\}^m$ , that correspond to single bit errors

**Capability of a code:** we are interested in sets of errors *e* with the property that each error *e* in the set can be uniquely identified (hence corrected) by  $s_e$ 

For linear codes with maximum distance d, this includes the errors that are up to  $\lfloor \frac{d-1}{2} \rfloor$  bit-flip errors

#### **CSS** construction

Let  $C_2 \subset C_1 \subset \{0,1\}^m$  be two classical linear codes such that:

- The minimum distance of  $C_1$  is d
- $C_2^{\perp} \subseteq C_1$
- Let  $r = \dim(C_1) \dim(C_2) = \log(|C_1|/|C_2|)$

Then the resulting **CSS** code maps each *r*-qubit basis state  $|b_1...b_r\rangle$  to some "coset state" of the form

$$\frac{1}{\sqrt{|C_2|}} \sum_{v \in C_2} |v + w\rangle$$

where  $w = w_1...w_m$  is a linear function of  $b_1...b_r$  chosen so that each value of w occurs in a unique coset in the quotient space  $C_1/C_2$ 

The resulting quantum code can correct up to  $\lfloor \frac{d-1}{2} \rfloor$  errors

#### **Example of CSS construction**

For m = 7, for the  $C_1$  and  $C_2$  in the previous example we obtain these basis codewords:

 $|0_L\rangle = |0000000\rangle + |1010101\rangle + |0110011\rangle + |1100110\rangle + |0001111\rangle + |1011010\rangle + |0111100\rangle + |1101001\rangle$ 

 $\begin{aligned} |1_L\rangle &= |1111111\rangle + |0101010\rangle + |1001100\rangle + |0011001\rangle \\ &+ |1110000\rangle + |0100101\rangle + |1000011\rangle + |0010110\rangle \end{aligned}$ 

There is a quantum circuit that transforms between  $(\alpha|0\rangle + \beta|1\rangle)|0^{m-1}\rangle$  and  $\alpha|0_L\rangle + \beta|1_L\rangle$ 

## **CSS error correction (1)**

Using the error-correcting properties of  $C_1$ , one can construct a quantum circuit consisting of CNOT gates that computes the syndrome *s* for any combination of up to d *X*-errors in the following sense



Once the syndrome  $s_e$ , has been computed, the *X*-errors can be determined and undone

What about *Z*-errors?

The above procedure for correcting *X*-errors has no effect on any *Z*-errors that occur

#### **CSS error correction (2)**

Note that any Z-error is an X-error in the Hadamard basis

Changing to Hadamard basis is like changing from  $C_2$  to  $C_1$  since

$$H^{\otimes m}\left(\sum_{v\in C_2}|v\rangle\right) = \sum_{u\in C_2^{\perp}}|u\rangle \quad \text{and} \quad H^{\otimes m}\left(\sum_{v\in C_2}|v+w\rangle\right) = \sum_{u\in C_2^{\perp}}(-1)^{w\cdot u}|u\rangle$$

Applying  $H^{\otimes n}$  to a superposition of basis codewords yields

$$H^{\otimes m}\left(\sum_{b\in\{0,1\}^{r}}\alpha_{b}\sum_{v\in C_{2}}|v+b\cdot G\rangle\right) = \sum_{b\in\{0,1\}^{r}}\alpha_{b}\sum_{u\in C_{2}^{\perp}}(-1)^{b\cdot G\cdot u}|u\rangle = \sum_{u\in C_{2}^{\perp}}\sum_{b\in\{0,1\}^{r}}\alpha_{b}(-1)^{b\cdot G\cdot u}|u\rangle$$

Note that, since  $C_2^{\perp} \subseteq C_1$ , this is a superposition of elements of  $C_1$ , so we can use the error-correcting properties of  $C_1$  to correct

Then, applying Hadamards again, restores the codeword with up to d *Z*-errors corrected

## **CSS error correction (3)**

The two procedures together correct up to d errors that can each be either an *X*-error or a *Z*-error — and, since Y = iXZ, they can also be *Y*-errors

From this, a simple linearity argument can be applied to show that the code corrects up to d arbitrary errors (that is, the error can be any quantum operation performed on up to d qubits)

Since there exist pretty good *classical* linear codes that satisfy the properties needed for the CSS construction, this approach can be used to construct pretty good *quantum* codes

In our running example, we obtain a 7-qubit quantum code for 1 qubit, that protects against one error (beating the Shor 9-qubit code)

## **Depolarizing channel**

Roughly speaking, it's a quantum analogue of the binary symmetric channel

Each qubit incurs the following type of error  $(0 \le \epsilon \le \frac{3}{4})$ :

ſI	with probability $1-\epsilon$	(no error)
$\int X$	with probability $\varepsilon/3$	(bit flip)
Z	with probability $\varepsilon/3$	(phase flip)
$\bigcup Y$	with probability $\varepsilon/3$	(both)

For any noise rate  $\epsilon$  below some constant, there are codes with:

- constant rate r = n/m
- error probability of code  $\rightarrow 0$  as  $n \rightarrow \infty$



# Brief remarks about fault-tolerant computing

#### A simple error model



At each qubit there is an  $\times$  error per unit of time, that denotes the following noise: ( I with probability  $1-\varepsilon$ 

Iwith probability 1-
$$\epsilon$$
Xwith probability  $\epsilon/3$ Ywith probability  $\epsilon/3$ Zwith probability  $\epsilon/3$ 

#### **Threshold theorem**

If  $\epsilon$  is very small then this is okay—a computation of size\* less than  $1/(10\epsilon)$  will still succeed most of the time

But, for every *constant* value of  $\varepsilon$ , the size of the maximum computation possible in this manner is constant

#### **Threshold theorem:**

There's a *fixed* constant  $\varepsilon_0 > 0$  such that a circuit of *any* size *T* can be translated into a circuit of size  $O(T \log^c(T))$  that is robust against the error model with parameter  $\varepsilon \le \varepsilon_0$ 

(The proof is omitted here)

\* where size = (# qubits)x(# time steps)

#### **Comments about the threshold theorem**

Idea is to use a quantum error-correcting code at the start and then perform all the gates **on the encoded data** 

At regular intervals, an error-correction procedure is performed, very carefully, since these operations are also subject to errors!

The 7-qubit CSS code has some nice properties that enable some (not all) gates to be directly performed on the encoded data: *H* and *CNOT* gates act "transversally" in the sense that:



Also, codes applied recursively become stronger