

## Classical Lower Bound for Simon's Problem

Richard Cleve

We prove that any classical (possibly probabilistic) algorithm for Simon's problem that succeeds with probability at least  $3/4$  must make  $\Omega(\sqrt{2^n})$  queries. The proof uses some standard techniques that arise in computational complexity; however, this account assumes no prior background in the area.

The first part of the proof is to “play the adversary” by coming up with a way of generating an instance of  $f$  that will be hard for any algorithm. Note that picking some *fixed*  $f$  will not work very well. A fixed  $f$  has a fixed  $r$  associated with it and the first two queries of the algorithm *could* be  $0^n$  and  $r$ , which would reveal  $r$  to the algorithm after only two queries. Rather, we shall *randomly* generate instances of  $f$ . First, we pick  $r$  at random, uniformly from  $\{0, 1\}^n - 0^n$  (we exclude the all-zero string to avoid a special case, and make the proof technically easier to write). Picking  $r$  does not fully specify  $f$  but it partitions  $\{0, 1\}^n$  into  $2^{n-1}$  colliding pairs of the form  $\{x, x \oplus r\}$ , for which  $f(x) = f(x \oplus r)$  will occur. Let us also specify a representative element from each colliding pair, say, the smallest element of  $\{x, x \oplus r\}$  in the lexicographic order. Let  $T$  be the set of all such representatives:  $T = \{s : s = \min\{x, x \oplus r\} \text{ for some } x \in \{0, 1\}^n\}$ . Then we can define  $f$  in terms of a random one-to-one function  $\phi : T \rightarrow \{0, 1\}^n$  uniformly over all the  $2^n(2^n - 1)(2^n - 2) \cdots (2^n - 2^{n-1} + 1)$  possibilities. The definition of  $f$  can then be taken as

$$f(x) = \begin{cases} \phi(x) & \text{if } x \in T \\ \phi(x \oplus r) & \text{if } x \notin T. \end{cases}$$

We shall prove that no classical probabilistic algorithm can succeed with probability  $3/4$  on such instances unless it makes a very large number of queries.

The next part of the proof is to show that, with respect to the above distribution among inputs, we need only consider *deterministic* algorithms (by which we mean ones that make no probabilistic choices). The idea is that any probabilistic algorithm is just a probability distribution over all the deterministic algorithms, so its success probability  $p$  is the average of the success probabilities of all the deterministic algorithms (where the average is weighted by the probabilities). At least one deterministic algorithm must have success probability  $\geq p$  (otherwise the average would be less than  $p$ ). Therefore we need only consider deterministic algorithms.

Next, consider some deterministic algorithm and the first query that it makes:  $(x_1, y_1) \in \{0, 1\}^n \times \{0, 1\}^n$ , where  $x_1$  is the input to the query and  $y_1$  is the output of the query. The

result of this will just be a uniformly random element of  $\{0,1\}^n$ , independent of  $r$ . Therefore the first query by itself contains absolutely no information about  $r$ .

Now consider the second query  $(x_2, y_2)$  (without loss of generality, we can assume that the inputs to all queries are different; otherwise, the redundant queries could be eliminated from the algorithm). There are two possibilities:  $x_1 \oplus x_2 = r$  (collision) or  $x_1 \oplus x_2 \neq r$  (no collision). In the first case, we will have  $y_1 = y_2$  and so the algorithm can deduce that  $r = x_1 \oplus x_2$ . But the first case arises with probability only  $\frac{1}{2^n-1}$ . With probability  $1 - \frac{1}{2^n-1}$ , we are in the second case, and all that the algorithm deduces about  $r$  is that  $r \neq x_1 \oplus x_2$  (it has ruled out just one possibility among  $2^n - 1$ ).

We continue our analysis of the process by induction on the number of queries. Suppose that  $k - 1$  queries,  $(x_1, y_1), \dots, (x_{k-1}, y_{k-1})$  have been made without any collisions so far. (No collision so far means that, for all  $1 \leq i < j \leq k - 1$ ,  $y_i \neq y_j$ .) Then all that has been deduced about  $r$  is that it is not  $x_i \oplus x_j$  for all  $1 \leq i < j \leq k - 1$ . In other words, up to  $(k - 1)(k - 2)/2$  possibilities for  $r$  have been eliminated. When the next query  $(x_k, y_k)$  is made, the number of potential collisions arising from it are at most  $k - 1$  (there are  $k - 1$  previously made queries to collide with). Therefore, the probability of a collision at query  $k$  is at most

$$\frac{k - 1}{2^n - 1 - (k - 1)(k - 2)/2} \leq \frac{2k}{2^{n+1} - k^2}. \quad (1)$$

Since the collision probability bound in Eq. (??) holds all  $k$ , the probability of a collision occurring somewhere among  $m$  queries is at most the sum of the right side of Eq. (??) with  $k$  varying from 1 to  $m$ :

$$\sum_{k=1}^m \frac{2k}{2^{n+1} - k^2} \leq \sum_{k=1}^m \frac{2m}{2^{n+1} - m^2} \leq \frac{2m^2}{2^{n+1} - m^2}. \quad (2)$$

If this quantity is to be at least  $3/4$  then

$$\frac{2m^2}{2^{n+1} - m^2} \geq \frac{3}{4}. \quad (3)$$

It is an easy exercise to solve for  $m$  in the above inequality, yielding

$$m \geq \sqrt{\frac{6}{11} 2^n}, \quad (4)$$

which gives the desired bound.

Actually, there is a slight technicality remaining. We have shown that  $\sqrt{(6/11)2^n}$  queries are necessary *to attain a collision* with probability  $3/4$ ; whereas the algorithm is not technically required to make queries that include a collision. Rather, the algorithm is just required to deduce  $r$ , and it is conceivable that an algorithm could deduce  $r$  some other way without a collision occurring. But any algorithm that deduces  $r$  can be modified so that it makes one additional query that collides with a previous one. Hence, we have a slightly smaller lower bound of  $\sqrt{(6/11)2^n} - 1$ , but this is still  $\Omega(\sqrt{2^m})$ .