

# **Introduction to Quantum Information Processing**

**QIC 710 / CS 678 / PH 767 / CO 681 / AM 871**

## **Lectures 9–11 (2013)**

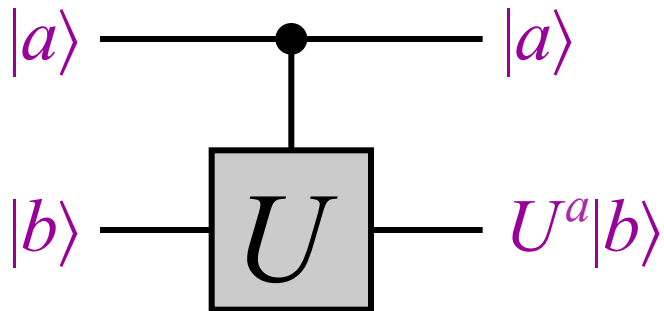
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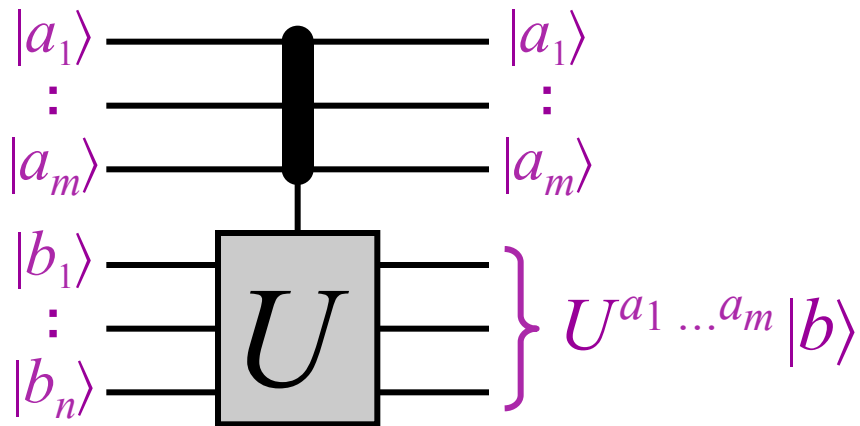
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**Continuation of:**  
Eigenvalue estimation problem  
(a.k.a. phase estimation)

# Generalized controlled- $U$ gates



$$\begin{bmatrix} I & 0 \\ 0 & U \end{bmatrix}$$



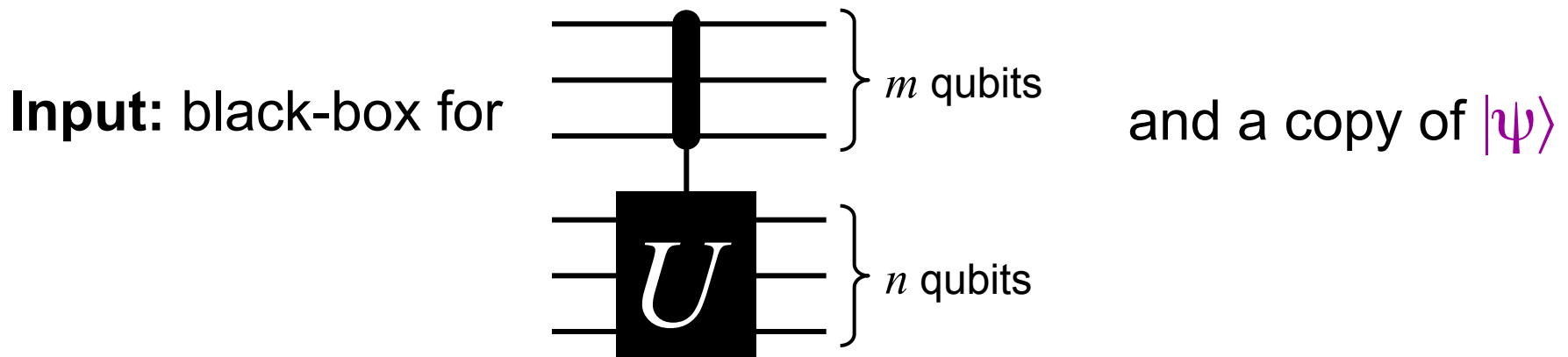
$$\begin{bmatrix} I & 0 & 0 & \dots & 0 \\ 0 & U & 0 & \dots & 0 \\ 0 & 0 & U^2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & U^{2^m-1} \end{bmatrix}$$

**Example:**  $|1101\rangle|0101\rangle \rightarrow |1101\rangle U^{1101}|0101\rangle$

# Eigenvalue estimation problem

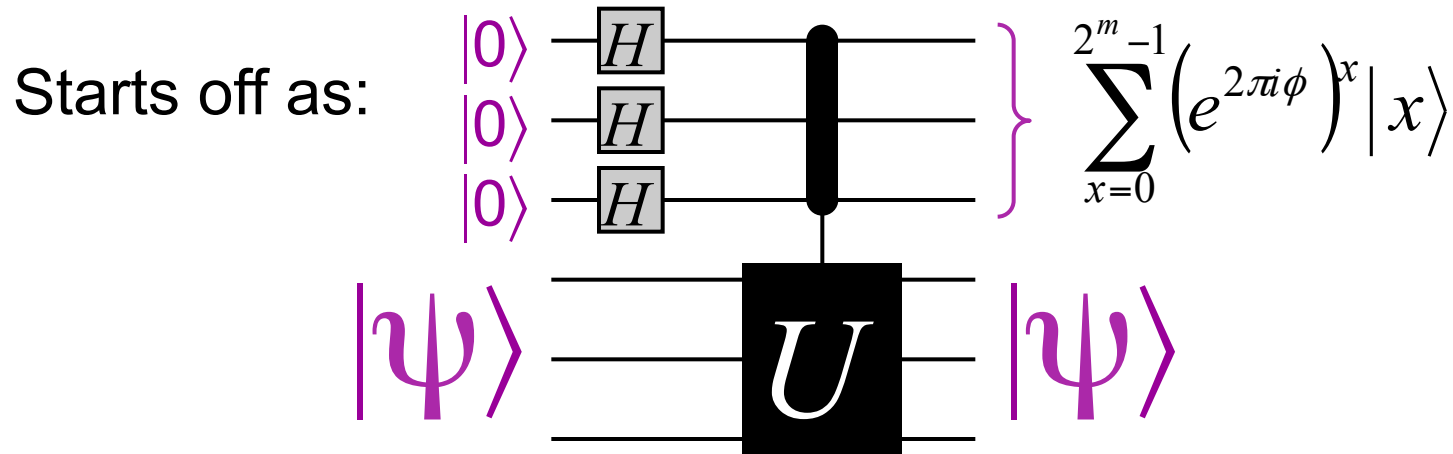
$U$  is a unitary operation on  $n$  qubits

$|\psi\rangle$  is an eigenvector of  $U$ , with eigenvalue  $e^{2\pi i\phi}$   
( $0 \leq \phi < 1$ )



**Output:**  $\phi$  ( $m$ -bit approximation)

# Algorithm for eigenvalue estimation (1)



$$|00 \dots 0\rangle |\psi\rangle$$

$$|a\rangle |b\rangle \rightarrow |a\rangle U^a |b\rangle$$

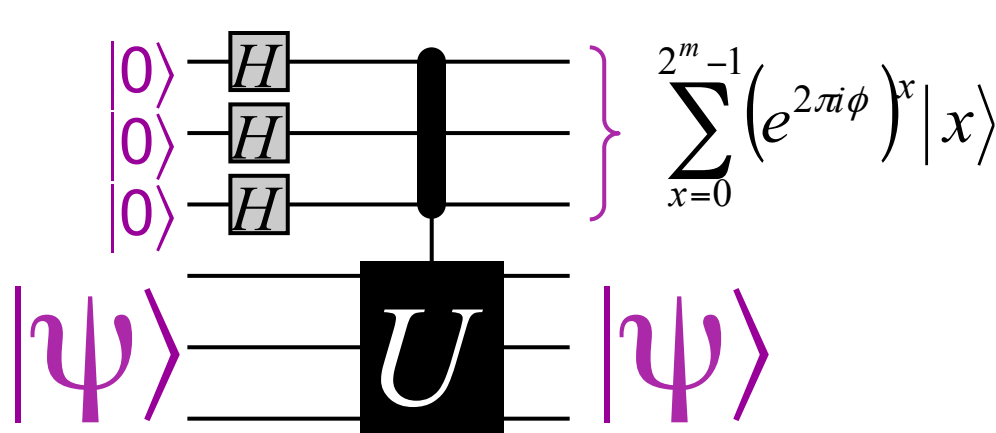
$$\rightarrow (|0\rangle + |1\rangle)(|0\rangle + |1\rangle) \dots (|0\rangle + |1\rangle) |\psi\rangle$$

$$= (|000\rangle + |001\rangle + |010\rangle + |011\rangle + \dots + |111\rangle) |\psi\rangle$$

$$= (|0\rangle + |1\rangle + |2\rangle + |3\rangle + \dots + |2^m - 1\rangle) |\psi\rangle$$

$$\rightarrow (|0\rangle + e^{2\pi i \phi} |1\rangle + (e^{2\pi i \phi})^2 |2\rangle + (e^{2\pi i \phi})^3 |3\rangle + \dots + (e^{2\pi i \phi})^{2^m-1} |2^m-1\rangle) |\psi\rangle$$

# Algorithm for eigenvalue estimation (2)

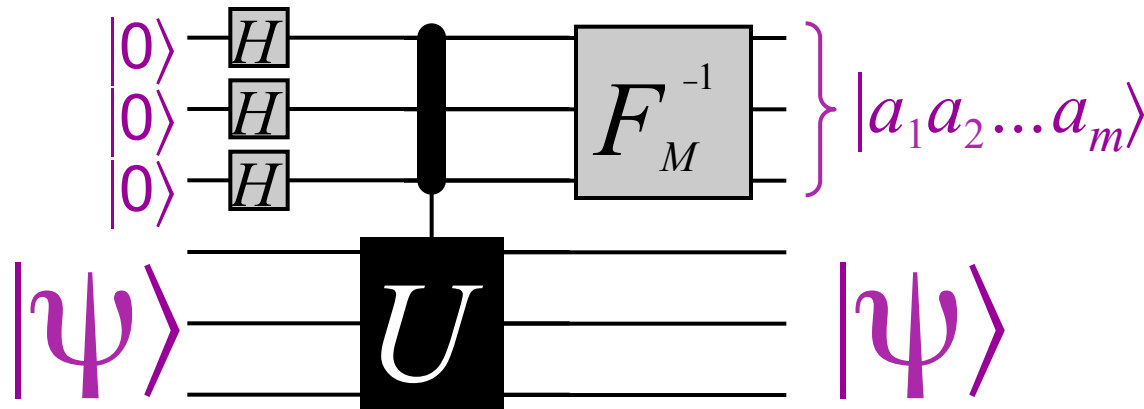


Recall that 
$$F_M |a_1 a_2 \dots a_m\rangle = \sum_{x=0}^{2^m-1} \left( e^{2\pi i (0.a_1 a_2 \dots a_m)} \right)^x |x\rangle$$

$$F_M^{-1} = \frac{1}{\sqrt{M}} \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & \omega^{-1} & \omega^{-2} & \omega^{-3} & \dots & \omega^{-(M-1)} \\ 1 & \omega^{-2} & \omega^{-4} & \omega^{-6} & \dots & \omega^{-2(M-1)} \\ 1 & \omega^{-3} & \omega^{-6} & \omega^{-9} & \dots & \omega^{-3(M-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{-(M-1)} & \omega^{-2(M-1)} & \omega^{-3(M-1)} & \dots & \omega^{-(M-1)^2} \end{bmatrix}$$

Therefore, when  $\phi = 0.a_1 a_2 \dots a_m$  applying the **inverse** of  $F_M$  yields  $\phi$  (digits)

# Algorithm for eigenvalue estimation (3)



If  $\phi = 0.a_1 a_2 \dots a_m$  then the above procedure yields  $|a_1 a_2 \dots a_m\rangle$   
(from which  $\phi$  can be deduced exactly)

But what  $\phi$  if is not of this nice form?

**Example:**  $\phi = \frac{1}{3} = 0.0101010101010101\dots$

# Algorithm for eigenvalue estimation (4)

What if  $\phi$  is not of the nice form  $\phi = 0.a_1a_2\dots a_m$ ?

**Example:**  $\phi = 1/3 = 0.\underline{0101010101010101}\dots$

Let's calculate what the previously-described procedure does:

Let  $a/2^m = 0.a_1a_2\dots a_m$  be an  $m$ -bit approximation of  $\phi$ ,  
in the sense that  $\phi = a/2^m + \delta$ , where  $|\delta| \leq 1/2^{m+1}$

$$\begin{aligned} (F_M)^{-1} \sum_{x=0}^{2^m-1} (e^{2\pi i \phi})^x |x\rangle &= \frac{1}{2^m} \sum_{y=0}^{2^m-1} \sum_{x=0}^{2^m-1} e^{-2\pi i xy/2^m} e^{2\pi i \phi x} |y\rangle \\ &= \frac{1}{2^m} \sum_{y=0}^{2^m-1} \sum_{x=0}^{2^m-1} e^{-2\pi i xy/2^m} e^{2\pi i \left(\frac{a}{2^m} + \delta\right) x} |y\rangle \\ &= \frac{1}{2^m} \sum_{y=0}^{2^m-1} \sum_{x=0}^{2^m-1} e^{2\pi i (a-y)x/2^m} e^{2\pi i \delta x} |y\rangle \end{aligned}$$

What is the  
amplitude of  
 $|a_1a_2\dots a_m\rangle$ ?

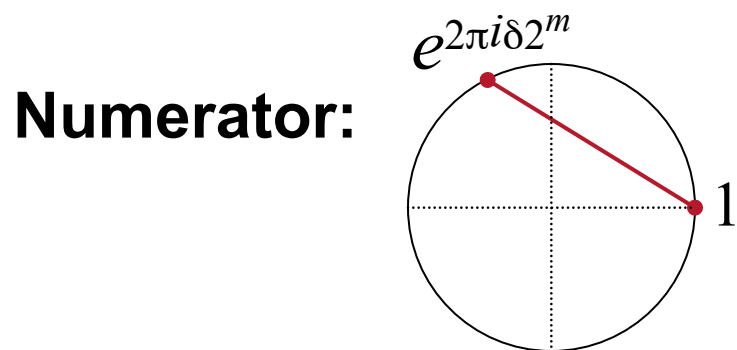


# Algorithm for eigenvalue estimation (5)

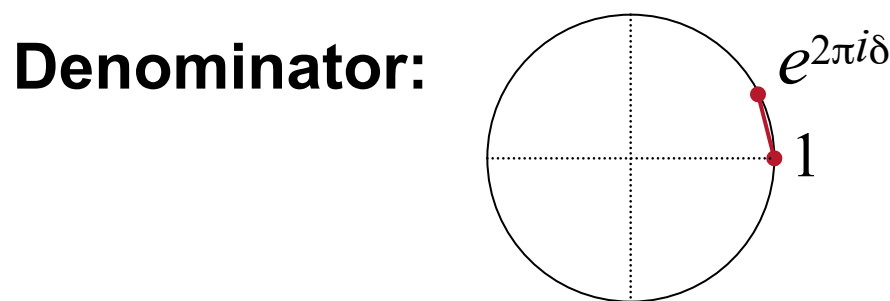
State is:  $\frac{1}{2^m} \sum_{y=0}^{2^m-1} \sum_{x=0}^{2^m-1} e^{2\pi i(a-y)x/2^m} e^{2\pi i\delta x} |y\rangle$

**geometric series!**

The amplitude of  $|y\rangle$ , for  $y = a$  is  $\frac{1}{2^m} \sum_{x=0}^{2^m-1} e^{2\pi i\delta x} = \frac{1}{2^m} \frac{1 - (e^{2\pi i\delta})^{2^m}}{1 - e^{2\pi i\delta}}$



lower bounded by  
 $2\pi\delta 2^m(2/\pi) > 4\delta 2^m$



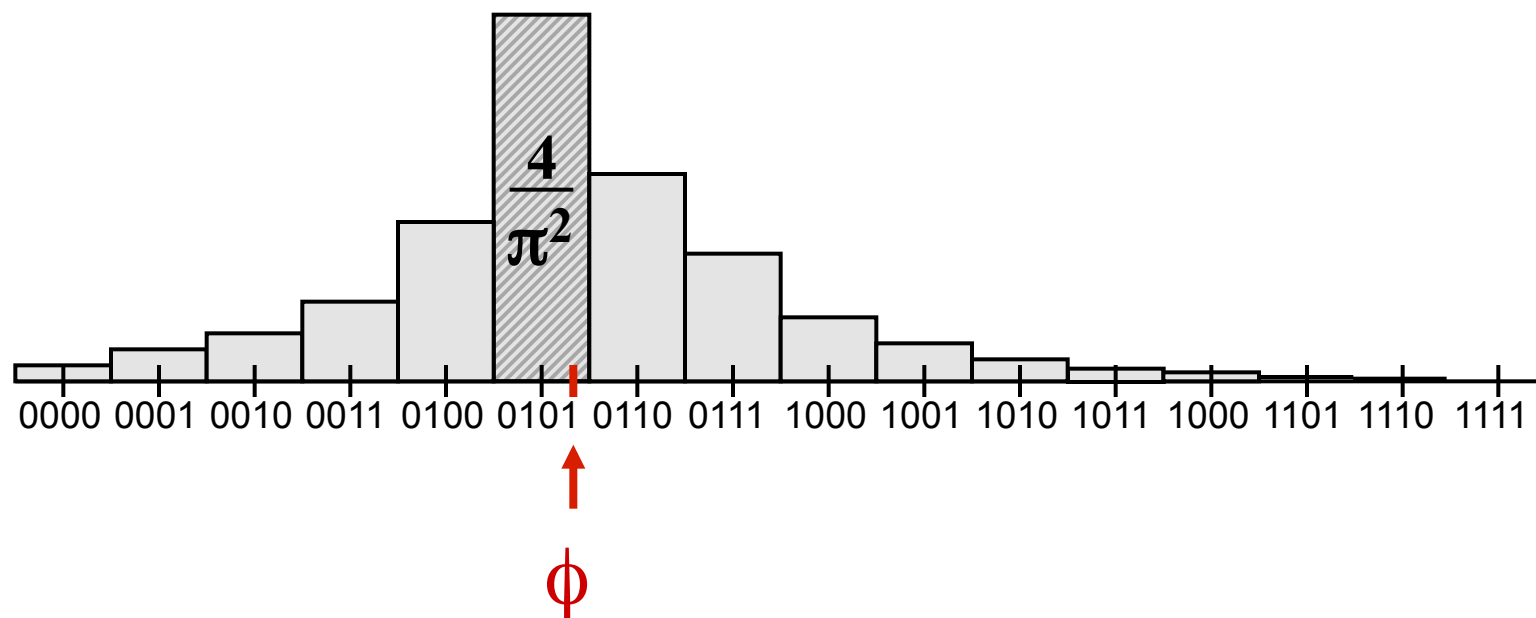
upper bounded by  $2\pi\delta$

Therefore, the absolute value of the amplitude of  $|y\rangle$  is at least the quotient of  $(1/2^m)(\text{numerator/denominator})$ , which is  $2/\pi$

# Algorithm for eigenvalue estimation (6)

Therefore, the probability of measuring an  $m$ -bit approximation of  $\phi$  is always at least  $4/\pi^2 \approx 0.4$

For example, when  $\phi = 1/3 = 0.\underline{0101}01010101\dots$ , the outcome probabilities look roughly like this:



# Order-finding via eigenvalue estimation

# Order-finding problem

Let  $m$  be an  $n$ -bit integer

**Def:**  $\mathbf{Z}_m^* = \{x \in \{1, 2, \dots, m-1\} : \gcd(x, m) = 1\}$  (a group)

**Def:**  $\text{ord}_m(a)$  is the minimum  $r > 0$  such that  $a^r = 1 \pmod{m}$

**Order-finding problem:** given  $a$  and  $m$ , find  $\text{ord}_m(a)$

**Example:**  $\mathbf{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$

The powers of 10 are: 1, 10, 16, 13, 4, 19, 1, 10, 16, ...

Therefore,  $\text{ord}_{21}(10) = 6$

**Note:** no *classical* polynomial-time algorithm is known for this problem (turns out that it's as hard as factoring)

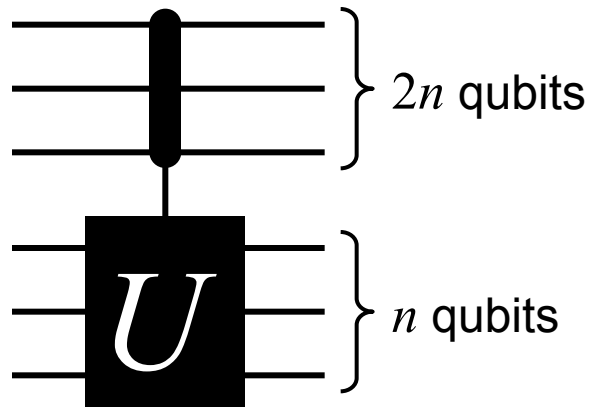
# Order-finding algorithm (1)

**Define:**  $U$  (an operation on  $m$  qubits) as:  $U|y\rangle = |ay \bmod M\rangle$

**Define:** 
$$|\psi_1\rangle = \sum_{j=0}^{r-1} e^{-2\pi i(1/r)j} |a^j \bmod m\rangle$$

**Then** 
$$\begin{aligned} U|\psi_1\rangle &= \sum_{j=0}^{r-1} e^{-2\pi i(1/r)j} |a^{j+1} \bmod m\rangle \\ &= \sum_{j=0}^{r-1} e^{2\pi i(1/r)} e^{-2\pi i(1/r)(j+1)} |a^{j+1} \bmod m\rangle \\ &= e^{2\pi i(1/r)} |\psi_1\rangle \end{aligned}$$

# Order-finding algorithm (2)



corresponds to the mapping:

$$|x\rangle|y\rangle \rightarrow |x\rangle|a^x y \bmod m\rangle$$

Moreover, this mapping can be implemented with roughly  $O(n^2)$  gates

The phase estimation algorithm yields a  $2n$ -bit estimate of  $1/r$

From this, a good estimate of  $r$  can be calculated by taking the reciprocal, and rounding off to the nearest integer

**Exercise:** why are  $2n$  bits necessary and sufficient for this?

**Problem:** how do we construct state  $|\psi_1\rangle$  to begin with?

# Bypassing the need for $|\psi_1\rangle$ (1)

Let

$$\begin{aligned} |\psi_1\rangle &= \sum_{j=0}^{r-1} e^{-2\pi i(1/r)j} |a^j \bmod m\rangle \\ |\psi_2\rangle &= \sum_{j=0}^{r-1} e^{-2\pi i(2/r)j} |a^j \bmod m\rangle \\ &\vdots \\ |\psi_k\rangle &= \sum_{j=0}^{r-1} e^{-2\pi i(k/r)j} |a^j \bmod m\rangle \\ &\vdots \\ |\psi_r\rangle &= \sum_{j=0}^{r-1} e^{-2\pi i(r/r)j} |a^j \bmod m\rangle \end{aligned}$$

Any one of these could be used in the previous procedure, to yield an estimate of  $k/r$ , from which  $r$  can be extracted

**What if  $k$  is chosen randomly and kept secret?**

# Bypassing the need for $|\psi_1\rangle$ (2)

**What if  $k$  is chosen randomly and kept secret?**

Can ***still*** uniquely determine  $k$  and  $r$ , from a  $2n$ -bit estimate of  $k/r$ , provided they have no common factors, using the ***continued fractions algorithm***\*

**Note:** If  $k$  and  $r$  have a common factor, it is impossible because, for example,  $2/3$  and  $17/51$  are indistinguishable

So this is fine as long as  $k$  and  $r$  are relatively prime ...

\* For a discussion of the *continued fractions algorithm*, please see Appendix A4.4 in [Nielsen & Chuang]



**To be continued**

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## **Lecture 10 (2013)**

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# Continuation of: Order-finding via eigenvalue estimation

# Order-finding problem

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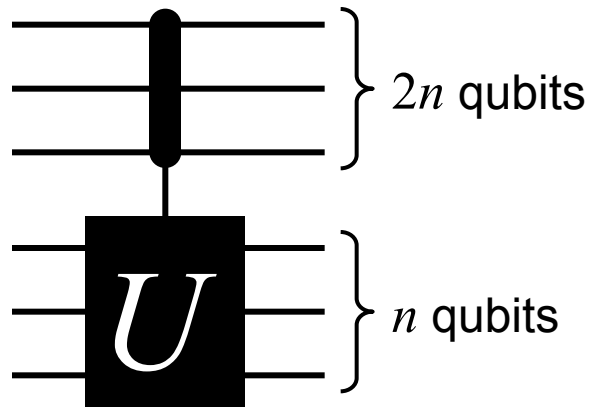
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**Then** 
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# Order-finding algorithm (2)



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So this is fine as long as  $k$  and  $r$  are relatively prime ...

\* For a discussion of the *continued fractions algorithm*, please see Appendix A4.4 in [Nielsen & Chuang]



# Bypassing the need for $|\psi_1\rangle$ (3)

What is the probability that  $k$  and  $r$  are relatively prime?

Recall that  $k$  is randomly chosen from  $\{1, \dots, r\}$

The probability that this occurs is  $\phi(r)/r$ , where  $\phi$  is **Euler's totient function**

It is known that  $\phi(r) = \Omega(r/\log\log r)$ , which implies that the above probability is at least  $\Omega(1/\log\log r) = \Omega(1/\log n)$

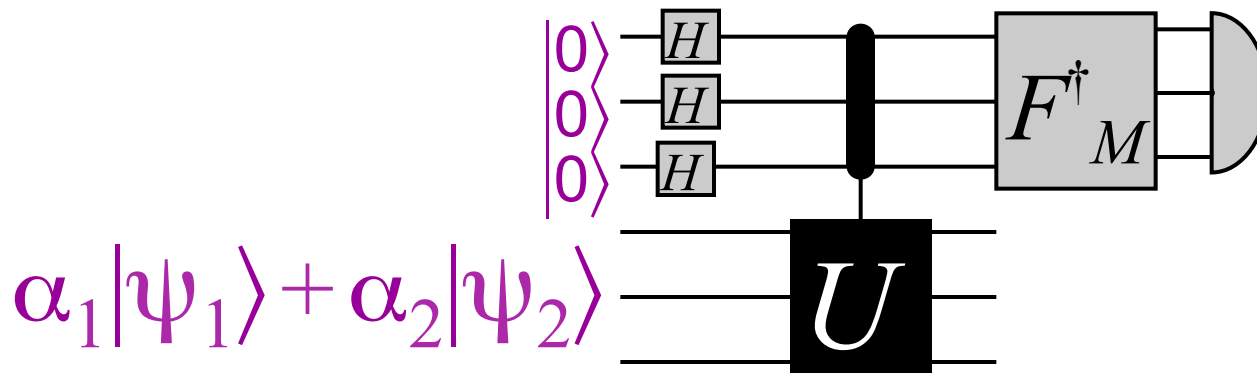
Therefore, the success probability is at least  $\Omega(1/\log n)$

Is this good enough? Yes, because it means that the success probability can be amplified to any constant  $< 1$  by repeating  $O(\log n)$  times (so still polynomial in  $n$ )

**But we'd still need to generate a random  $|\psi_k\rangle$  here ...**

# Bypassing the need for $|\psi_1\rangle$ (4)

Returning to the phase estimation problem, suppose that  $|\psi_1\rangle$  and  $|\psi_2\rangle$  have respective eigenvalues  $e^{2\pi i\phi_1}$  and  $e^{2\pi i\phi_2}$ , and that  $\alpha_1|\psi_1\rangle + \alpha_2|\psi_2\rangle$  is used in place of an eigenvector:



**What will the outcome be?**

It will be an estimate of  $\begin{cases} \phi_1 & \text{with probability } |\alpha_1|^2 \\ \phi_2 & \text{with probability } |\alpha_2|^2 \end{cases}$

Showing this is left as an exercise; related questions in Assignment #3

# Bypassing the need for $|\psi_1\rangle$ (5)

Along similar lines, the state  $\frac{1}{\sqrt{r}} \sum_{k=1}^r |\psi_k\rangle$  yields results equivalent to choosing a  $|\psi_k\rangle$  at random

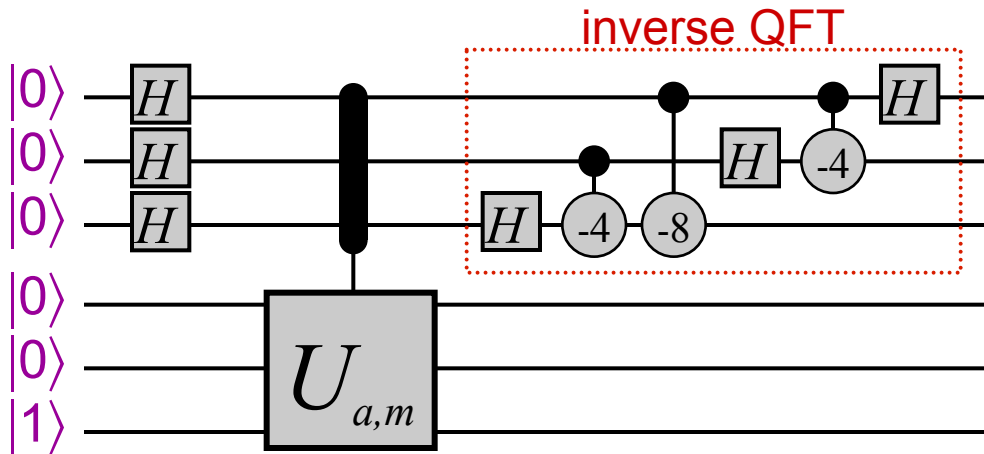
Is it hard to construct the state  $\frac{1}{\sqrt{r}} \sum_{k=1}^r |\psi_k\rangle$  ?

In fact, **this** is something that is easy, since

$$\frac{1}{\sqrt{r}} \sum_{k=1}^r |\psi_k\rangle = \frac{1}{\sqrt{r}} \sum_{k=1}^r \sum_{j=0}^{r-1} e^{-2\pi i(k/r)j} |a^j \bmod m\rangle = |1\rangle$$

This is how the previous requirement for  $|\psi_1\rangle$  is bypassed

# Quantum algorithm for order-finding



} measure these qubits and  
apply continued fractions  
algorithm to determine a  
quotient, whose  
denominator divides  $r$

$$U_{a,m} |y\rangle = |ay \bmod m\rangle$$

Number of gates for  $\Omega(1/\log n)$  success probability is:  
 $O(n^2 \log n \log \log n)$

For **constant** success probability, repeat  $O(\log n)$  times and  
take the smallest resulting  $r$  such that  $a^r = 1 \pmod{m}$

# Reduction from factoring to order-finding

# The integer factorization problem

**Input:**  $m$  ( $n$ -bit integer; we can assume it is composite)

**Output:**  $p, q$  (each greater than 1) such that  $pq = m$

**Note 1:** no efficient (polynomial-time) classical algorithm is known for this problem

**Note 2:** given any efficient algorithm for the above, we can recursively apply it to fully factor  $m$  into primes\* efficiently

\* A polynomial-time **classical** algorithm for **primality testing** exists

# Factoring prime-powers

There is a straightforward ***classical*** algorithm for factoring numbers of the form  $m = p^k$ , for some prime  $p$

**What is this algorithm?**

Therefore, the interesting remaining case is where  $m$  has at least two distinct prime factors

# Numbers other than prime-powers

Proposed quantum algorithm (repeatedly do):

1. randomly choose  $a \in \{2, 3, \dots, m-1\}$
2. compute  $g = \gcd(a, m)$
3. if  $g > 1$  then  
    output  $g, m/g$   
    else  
        compute  $r = \text{ord}_m(a)$  (quantum part)  
        if  $r$  is even then  
            compute  $x = a^{r/2} - 1 \pmod m$   
            compute  $h = \gcd(x, m)$   
            if  $h > 1$  then output  $h, m/h$

**Analysis:**

we have  $m \mid a^r - 1$

so  $m \mid (a^{r/2} + 1)(a^{r/2} - 1)$

thus, either  $m \mid a^{r/2} + 1$   
or  $\gcd(a^{r/2} + 1, m)$   
is a nontrivial factor of  $m$

It can be shown that at least half of the  $a \in \{2, 3, \dots, m-1\}$  have order even and result in  $\gcd(a^{r/2} + 1, m)$  being a nontrivial factor of  $m$



**New topic:**  
**Density matrices and  
operations on them**

# More state distinguishing problems

# More state distinguishing problems

Which of these states are distinguishable? Divide them into equivalence classes:

1.  $|0\rangle + |1\rangle$

2.  $-|0\rangle - |1\rangle$

3.  $\begin{cases} |0\rangle & \text{with prob. } \frac{1}{2} \\ |1\rangle & \text{with prob. } \frac{1}{2} \end{cases}$

4.  $\begin{cases} |0\rangle + |1\rangle & \text{with prob. } \frac{1}{2} \\ |0\rangle - |1\rangle & \text{with prob. } \frac{1}{2} \end{cases}$

5.  $\begin{cases} |0\rangle & \text{with prob. } \frac{1}{2} \\ |0\rangle + |1\rangle & \text{with prob. } \frac{1}{2} \end{cases}$

6.  $\begin{cases} |0\rangle & \text{with prob. } \frac{1}{4} \\ |1\rangle & \text{with prob. } \frac{1}{4} \\ |0\rangle + |1\rangle & \text{with prob. } \frac{1}{4} \\ |0\rangle - |1\rangle & \text{with prob. } \frac{1}{4} \end{cases}$

7. The first qubit of  $|01\rangle - |10\rangle$

Answers later on ...

**This is a probabilistic mixed state**

# Density matrix formalism

# Density matrices (1)

Until now, we've represented quantum states as **vectors** (e.g.  $|\psi\rangle$ , and all such states are called **pure states**)

An alternative way of representing quantum states is in terms of **density matrices** (a.k.a. **density operators**)

The density matrix of a pure state  $|\psi\rangle$  is the matrix  $\rho = |\psi\rangle\langle\psi|$

**Example:** the density matrix of  $\alpha|0\rangle + \beta|1\rangle$  is

$$\rho = \begin{bmatrix} \alpha \\ \beta \end{bmatrix} \begin{bmatrix} \alpha^* & \beta^* \end{bmatrix} = \begin{bmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{bmatrix}$$

# Density matrices (2)

How do quantum operations work using density matrices?

**Effect of a unitary operation on a density matrix:**

applying  $U$  to  $\rho$  yields  $U\rho U^\dagger$

(this is because the modified state is  $U|\psi\rangle\langle\psi|U^\dagger$ )

**Effect of a measurement on a density matrix:**

measuring state  $\rho$  with respect to the basis  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_d\rangle$ , yields the  $k^{\text{th}}$  outcome with probability  $\langle\varphi_k|\rho|\varphi_k\rangle$

(this is because  $\langle\varphi_k|\rho|\varphi_k\rangle = \langle\varphi_k|\psi\rangle\langle\psi|\varphi_k\rangle = |\langle\varphi_k|\psi\rangle|^2$ )

—and the state collapses to  $|\varphi_k\rangle\langle\varphi_k|$

# Density matrices (3)

A probability distribution on pure states is called a ***mixed state***:

$$((|\psi_1\rangle, p_1), (|\psi_2\rangle, p_2), \dots, (|\psi_d\rangle, p_d))$$

The ***density matrix*** associated with such a mixed state is:

$$\rho = \sum_{k=1}^d p_k |\psi_k\rangle\langle\psi_k|$$

**Example:** the density matrix for  $((|0\rangle, \frac{1}{2}), (|1\rangle, \frac{1}{2}))$  is:

$$\frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

**Question:** what is the density matrix of  $((|0\rangle + |1\rangle, \frac{1}{2}), (|0\rangle - |1\rangle, \frac{1}{2}))$  ?

# Density matrices (4)

How do quantum operations work for these *mixed* states?

**Effect of a unitary operation on a density matrix:**

applying  $U$  to  $\rho$  *still* yields  $U\rho U^\dagger$

This is because the modified state is:

$$\sum_{k=1}^d p_k U |\psi_k\rangle \langle \psi_k| U^\dagger = U \left( \sum_{k=1}^d p_k |\psi_k\rangle \langle \psi_k| \right) U^\dagger = U\rho U^\dagger$$

**Effect of a measurement on a density matrix:**

measuring state  $\rho$  with respect to the basis  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_d\rangle$ , *still* yields the  $k^{\text{th}}$  outcome with probability  $\langle \varphi_k | \rho | \varphi_k \rangle$

**Why?**



# Recap: density matrices

## Quantum operations in terms of density matrices:

- Applying  $U$  to  $\rho$  yields  $U\rho U^\dagger$
- Measuring state  $\rho$  with respect to the basis  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_d\rangle$ , yields:  $k^{\text{th}}$  outcome with probability  $\langle \varphi_k | \rho | \varphi_k \rangle$   
—and causes the state to collapse to  $|\varphi_k\rangle\langle \varphi_k|$

Since these are expressible in terms of density matrices alone (independent of any specific probabilistic mixtures), states with identical density matrices are ***operationally indistinguishable***

Return to state distinguishing  
problems ...

# State distinguishing problems (1)

The **density matrix** of the mixed state

$((|\psi_1\rangle, p_1), (|\psi_2\rangle, p_2), \dots, (|\psi_d\rangle, p_d))$  is:  $\rho = \sum_{k=1}^d p_k |\psi_k\rangle\langle\psi_k|$

**Examples (from earlier in lecture):**

1. & 2.  $|0\rangle + |1\rangle$  and  $-|0\rangle - |1\rangle$  both have  $\rho = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$

3.  $\left\{ \begin{array}{l} |0\rangle \text{ with prob. } \frac{1}{2} \\ |1\rangle \text{ with prob. } \frac{1}{2} \end{array} \right.$

4.  $\left\{ \begin{array}{l} |0\rangle + |1\rangle \text{ with prob. } \frac{1}{2} \\ |0\rangle - |1\rangle \text{ with prob. } \frac{1}{2} \end{array} \right.$

6.  $\left\{ \begin{array}{l} |0\rangle \text{ with prob. } \frac{1}{4} \\ |1\rangle \text{ with prob. } \frac{1}{4} \\ |0\rangle + |1\rangle \text{ with prob. } \frac{1}{4} \\ |0\rangle - |1\rangle \text{ with prob. } \frac{1}{4} \end{array} \right.$

$$\rho = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

# State distinguishing problems (2)

## Examples (continued):

5.  $\begin{cases} |0\rangle & \text{with prob. } \frac{1}{2} \\ |0\rangle + |1\rangle & \text{with prob. } \frac{1}{2} \end{cases}$

has: 
$$\rho = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} 1/2 & 1/2 \\ 1/2 & 1/2 \end{bmatrix} = \begin{bmatrix} 3/4 & 1/2 \\ 1/2 & 1/4 \end{bmatrix}$$

7. The first qubit of  $|01\rangle - |10\rangle$  ...? (later)

# **Introduction to Quantum Information Processing**

**QIC 710 / CS 678 / PH 767 / CO 681 / AM 871**

## **Lecture 11 (2013)**

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# Characterizing density matrices

Three properties of  $\rho$  :

- $\text{Tr}\rho = 1$  ( $\text{Tr}M = M_{11} + M_{22} + \dots + M_{dd}$ )
- $\rho = \rho^\dagger$  (i.e.  $\rho$  is *Hermitian*)
- $\langle \varphi | \rho | \varphi \rangle \geq 0$ , for all states  $|\varphi\rangle$  (i.e.  $\rho$  is *positive semidefinite*)

$$\rho = \sum_{k=1}^d p_k |\psi_k\rangle\langle\psi_k|$$

Moreover, for **any** matrix  $\rho$  satisfying the above properties, there exists a probabilistic mixture whose density matrix is  $\rho$

**Exercise:** show this

# Taxonomy of various normal matrices

# Normal matrices

**Definition:** A matrix  $M$  is **normal** if  $M^\dagger M = M M^\dagger$

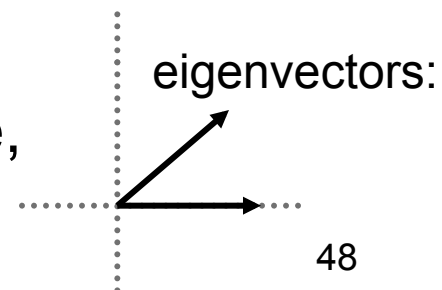
**Theorem:**  $M$  is normal iff there exists a unitary  $U$  such that  $M = U^\dagger D U$ , where  $D$  is diagonal (i.e. unitarily diagonalizable)

$$D = \begin{bmatrix} \lambda_1 & 0 & \cdots & 0 \\ 0 & \lambda_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_d \end{bmatrix}$$

Examples of **ab**normal matrices:

$\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  is not even diagonalizable

$\begin{bmatrix} 1 & 1 \\ 0 & 2 \end{bmatrix}$  is diagonalizable, but not unitarily





# Unitary and Hermitian matrices

**Normal:**  $M = \begin{bmatrix} \lambda_1 & 0 & \cdots & 0 \\ 0 & \lambda_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_d \end{bmatrix}$  with respect to **some** orthonormal basis

**Unitary:**  $M^\dagger M = I$  which implies  $|\lambda_k|^2 = 1$ , for all  $k$

**Hermitian:**  $M = M^\dagger$  which implies  $\lambda_k \in \mathbf{R}$ , for all  $k$

**Question:** which matrices are both unitary **and** Hermitian?

**Answer:** reflections ( $\lambda_k \in \{+1, -1\}$ , for all  $k$ )

# Positive semidefinite

**Positive semidefinite:** Hermitian and  $\lambda_k \geq 0$ , for all  $k$

**Theorem:**  $M$  is positive semidefinite iff  $M$  is Hermitian and, for all  $|\varphi\rangle$ ,  $\langle\varphi|M|\varphi\rangle \geq 0$

**(Positive definite:**  $\lambda_k > 0$ , for all  $k$ )

# Projectors and density matrices

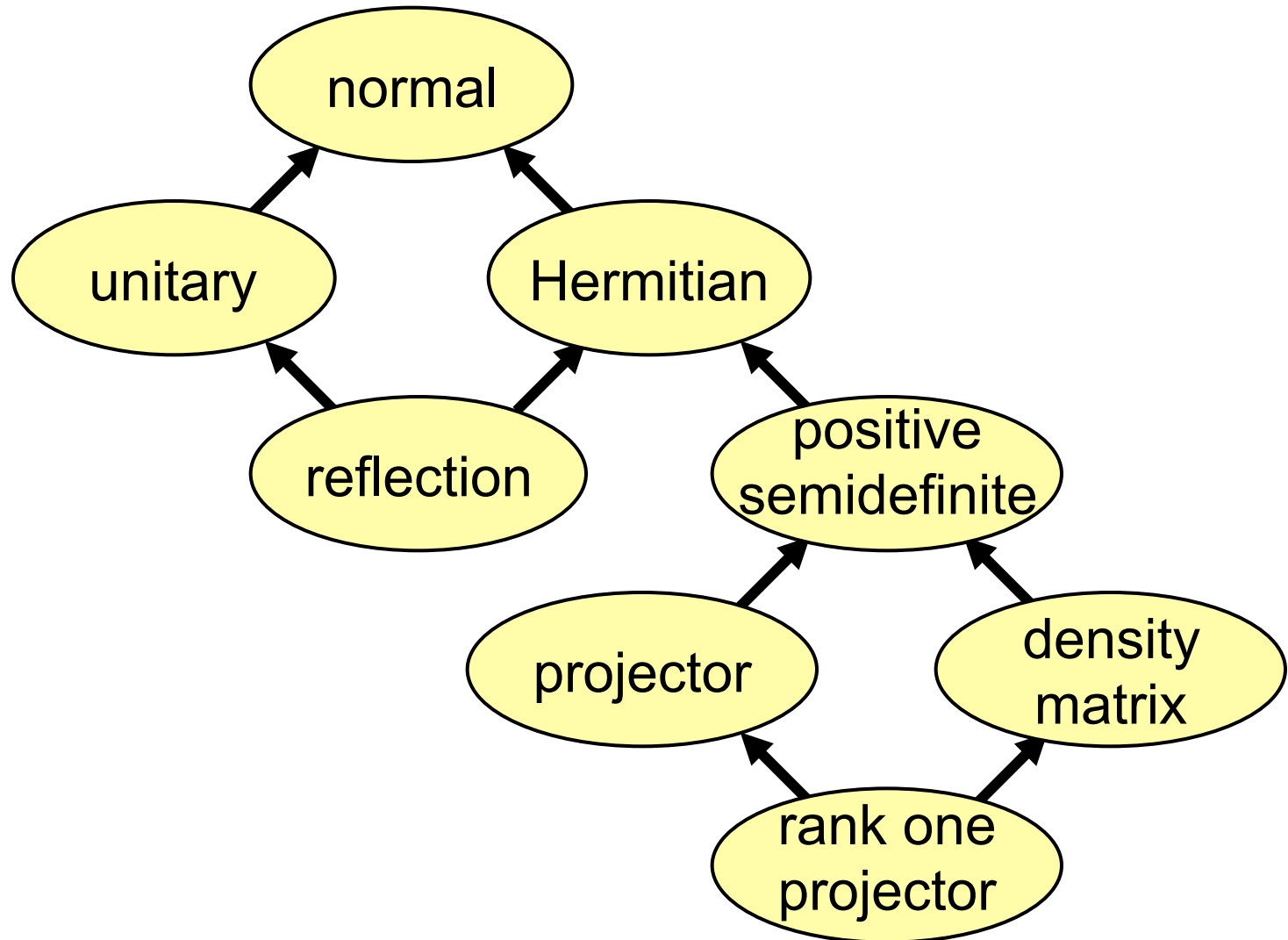
**Projector:** Hermitian and  $M^2 = M$ , which implies that  $M$  is positive semidefinite and  $\lambda_k \in \{0,1\}$ , for all  $k$

**Density matrix:** positive semidefinite and  $\text{Tr } M = 1$ , so  $\sum_{k=1}^d \lambda_k = 1$

**Question:** which matrices are both projectors *and* density matrices?

**Answer:** rank-1 projectors ( $\lambda_k = 1$  if  $k = j$ ; otherwise  $\lambda_k = 0$ )

# Taxonomy of normal matrices



# Bloch sphere for qubits

# Bloch sphere for qubits (1)

Consider the set of all 2x2 density matrices  $\rho$

They have a nice representation in terms of the ***Pauli matrices***:

$$\sigma_x = X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \sigma_z = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \sigma_y = Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

Note that these matrices—combined with  $I$ —form a ***basis*** for the vector space of all 2x2 matrices

We will express density matrices  $\rho$  in this basis

Note that the coefficient of  $I$  is  $\frac{1}{2}$ , since  $X, Y, Z$  are traceless

# Bloch sphere for qubits (2)

We will express  $\rho = \frac{I + c_x X + c_y Y + c_z Z}{2}$

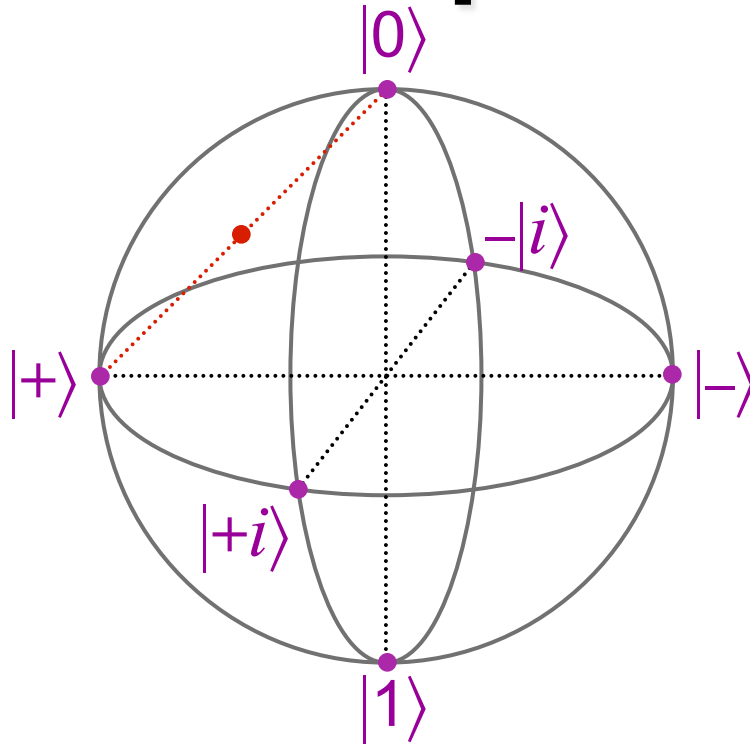
First consider the case of pure states  $|\psi\rangle\langle\psi|$ , where, without loss of generality,  $|\psi\rangle = \cos(\theta)|0\rangle + e^{2i\phi}\sin(\theta)|1\rangle$  ( $\theta, \phi \in \mathbf{R}$ )

$$\rho = \begin{bmatrix} \cos^2\theta & e^{-i2\phi}\cos\theta\sin\theta \\ e^{i2\phi}\cos\theta\sin\theta & \sin^2\theta \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 + \cos(2\theta) & e^{-i2\phi}\sin(2\theta) \\ e^{i2\phi}\sin(2\theta) & 1 - \cos(2\theta) \end{bmatrix}$$

Therefore  $c_z = \cos(2\theta)$ ,  $c_x = \cos(2\phi)\sin(2\theta)$ ,  $c_y = \sin(2\phi)\sin(2\theta)$

These are **polar coordinates** of a unit vector  $(c_x, c_y, c_z) \in \mathbf{R}^3$

# Bloch sphere for qubits (3)



$$|+\rangle = |0\rangle + |1\rangle$$

$$|-\rangle = |0\rangle - |1\rangle$$

$$|+i\rangle = |0\rangle + i|1\rangle$$

$$|-i\rangle = |0\rangle - i|1\rangle$$

Note that **orthogonal** corresponds to **antipodal** here

Pure states are on the surface, and mixed states are inside (being weighted averages of pure states)



# Distinguishing mixed states

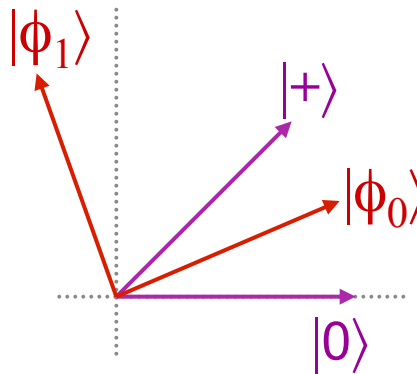
# Distinguishing mixed states (1)

What's the best distinguishing strategy between these two mixed states?

$$\begin{cases} |0\rangle & \text{with prob. } 1/2 \\ |0\rangle + |1\rangle & \text{with prob. } 1/2 \end{cases}$$

$$\rho_1 = \begin{bmatrix} 3/4 & 1/2 \\ 1/2 & 1/4 \end{bmatrix}$$

$\rho_1$  also arises from this orthogonal mixture:



$$\begin{cases} |\phi_0\rangle & \text{with prob. } \cos^2(\pi/8) \\ |\phi_1\rangle & \text{with prob. } \sin^2(\pi/8) \end{cases}$$

$$\begin{cases} |0\rangle & \text{with prob. } 1/2 \\ |1\rangle & \text{with prob. } 1/2 \end{cases}$$

$$\rho_2 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

... as does  $\rho_2$  from:

$$\begin{cases} |\phi_0\rangle & \text{with prob. } 1/2 \\ |\phi_1\rangle & \text{with prob. } 1/2 \end{cases}$$

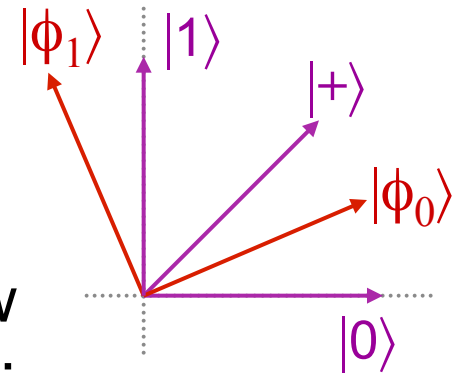
# Distinguishing mixed states (2)

We've effectively found an orthonormal basis  $|\phi_0\rangle, |\phi_1\rangle$  in which both density matrices are diagonal:

$$\rho'_2 = \begin{bmatrix} \cos^2(\pi/8) & 0 \\ 0 & \sin^2(\pi/8) \end{bmatrix} \quad \rho'_1 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Rotating  $|\phi_0\rangle, |\phi_1\rangle$  to  $|0\rangle, |1\rangle$  the scenario can now be examined using classical probability theory:

Distinguish between two **classical** coins, whose probabilities of “heads” are  $\cos^2(\pi/8)$  and  $1/2$  respectively (details: exercise)



**Question:** what do we do if we aren't so lucky to get two density matrices that are simultaneously diagonalizable?

# General quantum operations

# General quantum operations (1)

Also known as:

“quantum channels”

“completely positive trace preserving maps”,

“admissible operations”

Let  $A_1, A_2, \dots, A_m$  be matrices satisfying  $\sum_{j=1}^m A_j^\dagger A_j = I$

Then the mapping  $\rho \mapsto \sum_{j=1}^m A_j \rho A_j^\dagger$  is a general quantum op

**Note:**  $A_1, A_2, \dots, A_m$  do not have to be square matrices

**Example 1 (unitary op):** applying  $U$  to  $\rho$  yields  $U\rho U^\dagger$

# General quantum operations (2)

**Example 2 (decoherence):** let  $A_0 = |0\rangle\langle 0|$  and  $A_1 = |1\rangle\langle 1|$

This quantum op maps  $\rho$  to  $|0\rangle\langle 0|\rho|0\rangle\langle 0| + |1\rangle\langle 1|\rho|1\rangle\langle 1|$

For  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$ ,

$$\begin{bmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{bmatrix} \mapsto \begin{bmatrix} |\alpha|^2 & 0 \\ 0 & |\beta|^2 \end{bmatrix}$$

Corresponds to measuring  $\rho$  “without looking at the outcome”

After looking at the outcome,  $\rho$  becomes  $\begin{cases} |0\rangle\langle 0| & \text{with prob. } |\alpha|^2 \\ |1\rangle\langle 1| & \text{with prob. } |\beta|^2 \end{cases}$

# General quantum operations (3)

**Example 3 (discarding the second of two qubits):**

$$\text{Let } A_0 = I \otimes \langle 0 | = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } A_1 = I \otimes \langle 1 | = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

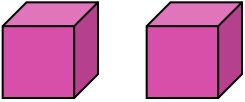
States of the form  $\rho \otimes \sigma$  (product states) become  $\rho$

$$\text{State } \left( \frac{1}{\sqrt{2}} |00\rangle + \frac{1}{\sqrt{2}} |11\rangle \right) \otimes \left( \frac{1}{\sqrt{2}} \langle 00| + \frac{1}{\sqrt{2}} \langle 11| \right) \text{ becomes } \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

**Note 1:** it's the same density matrix as for  $((\frac{1}{2}, |0\rangle), (\frac{1}{2}, |1\rangle))$

**Note 2:** the operation is called the **partial trace**  $\text{Tr}_2 \rho$

# More about the partial trace

Two quantum registers  in states  $\sigma$  and  $\mu$  (resp.) are **independent** when the combined system is in state  $\rho = \sigma \otimes \mu$

If the 2<sup>nd</sup> register is discarded, state of the 1<sup>st</sup> register remains  $\sigma$

In general, the state of a two-register system may not be of the form  $\sigma \otimes \mu$  (it may contain **entanglement** or **correlations**)

The **partial trace**  $\text{Tr}_2$  gives the effective state of the first register

For  $d$ -dimensional registers,  $\text{Tr}_2$  is defined with respect to the operators  $A_k = I \otimes \langle \phi_k |$ , where  $|\phi_0\rangle, |\phi_1\rangle, \dots, |\phi_{d-1}\rangle$  can be any orthonormal basis

The **partial trace**  $\text{Tr}_2 \rho$ , can also be characterized as the unique linear operator satisfying the identity  $\text{Tr}_2(\sigma \otimes \mu) = \sigma$



# Partial trace continued

For 2-qubit systems, the partial trace is explicitly

$$\text{Tr}_2 \begin{bmatrix} \rho_{00,00} & \rho_{00,01} & \rho_{00,10} & \rho_{00,11} \\ \rho_{01,00} & \rho_{01,01} & \rho_{01,10} & \rho_{01,11} \\ \rho_{10,00} & \rho_{10,01} & \rho_{10,10} & \rho_{10,11} \\ \rho_{11,00} & \rho_{11,01} & \rho_{11,10} & \rho_{11,11} \end{bmatrix} = \begin{bmatrix} \rho_{00,00} + \rho_{01,01} & \rho_{00,10} + \rho_{01,11} \\ \rho_{10,00} + \rho_{11,01} & \rho_{10,10} + \rho_{11,11} \end{bmatrix}$$

and

$$\text{Tr}_1 \begin{bmatrix} \rho_{00,00} & \rho_{00,01} & \rho_{00,10} & \rho_{00,11} \\ \rho_{01,00} & \rho_{01,01} & \rho_{01,10} & \rho_{01,11} \\ \rho_{10,00} & \rho_{10,01} & \rho_{10,10} & \rho_{10,11} \\ \rho_{11,00} & \rho_{11,01} & \rho_{11,10} & \rho_{11,11} \end{bmatrix} = \begin{bmatrix} \rho_{00,00} + \rho_{10,10} & \rho_{00,01} + \rho_{10,11} \\ \rho_{01,00} + \rho_{11,10} & \rho_{01,01} + \rho_{11,11} \end{bmatrix}$$

# General quantum operations (4)

Example 4 (adding an extra qubit):

$$\text{Just one operator } A_0 = I \otimes |0\rangle\langle 0| = \begin{bmatrix} 1 & 0 \\ 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix}$$

States of the form  $\rho$  become  $\rho \otimes |0\rangle\langle 0|$

More generally, to add a register in state  $|\phi\rangle$ , use the operator  $A_0 = I \otimes |\phi\rangle\langle \phi|$

# POVM measurements

(POVM = Positive Operator Valued Measure)

# POVM measurements (1)

Let  $A_1, A_2, \dots, A_m$  be matrices satisfying  $\sum_{j=1}^m A_j^\dagger A_j = I$

Corresponding **POVM measurement** is a stochastic operation on  $\rho$  that, with probability  $\text{Tr}(A_j \rho A_j^\dagger)$ , produces outcome:

$$\left\{ \begin{array}{l} j \text{ (classical information)} \\ \frac{A_j \rho A_j^\dagger}{\text{Tr}(A_j \rho A_j^\dagger)} \text{ (the collapsed quantum state)} \end{array} \right.$$

**Example 1:**  $A_j = |\phi_j\rangle\langle\phi_j|$  (orthogonal projectors)

This reduces to our previously defined measurements ...

# POVM measurements (2)

When  $A_j = |\phi_j\rangle\langle\phi_j|$  are orthogonal projectors and  $\rho = |\psi\rangle\langle\psi|$ ,

$$\begin{aligned}\text{Tr}(A_j \rho A_j^\dagger) &= \text{Tr}|\phi_j\rangle\langle\phi_j|\psi\rangle\langle\psi|\phi_j\rangle\langle\phi_j| \\ &= \langle\phi_j|\psi\rangle\langle\psi|\phi_j\rangle\langle\phi_j|\phi_j\rangle \\ &= |\langle\phi_j|\psi\rangle|^2\end{aligned}$$

Moreover, 
$$\frac{A_j \rho A_j^\dagger}{\text{Tr}(A_j \rho A_j^\dagger)} = \frac{|\varphi_j\rangle\langle\varphi_j|\psi\rangle\langle\psi|\varphi_j\rangle\langle\varphi_j|}{|\langle\varphi_j|\psi\rangle|^2} = |\varphi_j\rangle\langle\varphi_j|$$