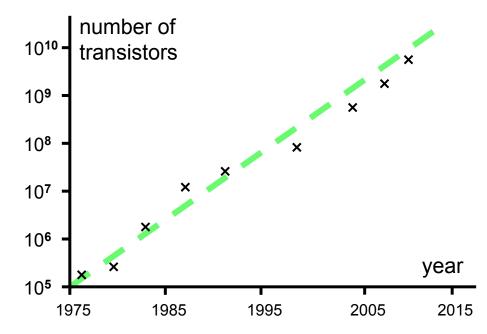
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#### Moore's Law



Following trend ... will reach atomic scale

Quantum mechanical effects occur at this scale:

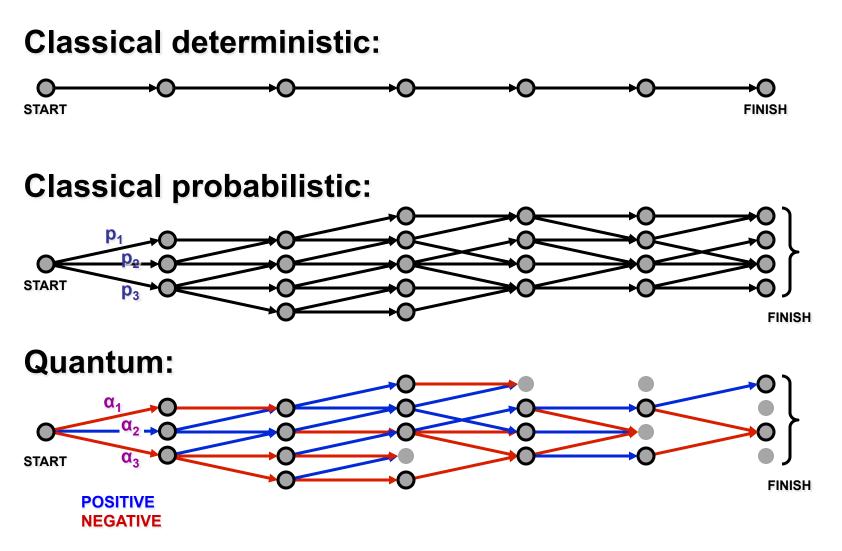
- Measuring a state (e.g. position) disturbs it
- Quantum systems sometimes seem to behave as if they are in several states at once
- Different evolutions can interfere with each other

#### Quantum mechanical effects Additional nuisances to overcome? or New types of behavior to make use of?

[Shor, 1994]: polynomial-time algorithm for factoring integers on a *quantum computer* 

This could be used to break most of the existing public-key cryptosystems on the internet, such as RSA

### **Quantum algorithms**

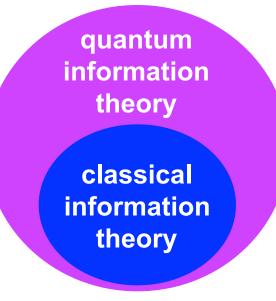


### Also with quantum information:

- Faster algorithms for combinatorial search [Grover '96]
- Unbreakable codes with short keys [Bennett, Brassard '84]
- Communication savings in distributed systems [C, Buhrman '97]
- More efficient "proof systems" [Watrous '99]

... and an extensive quantum information theory arises, which generalizes classical information theory

For example: a theory of quantum error-correcting codes



# This course covers the basics of quantum information processing

#### **Topics include:**

- Introduction to the quantum information framework
- Quantum algorithms (including Shor's factoring algorithm and Grover's search algorithm)
- Computational complexity theory
- Density matrices and quantum operations on them
- Distance measures between quantum states
- Entropy and noiseless coding
- Error-correcting codes and fault-tolerance
- Non-locality
- Cryptography

# **General course information**

#### **Background:**

- classical algorithms and complexity
- linear algebra
- probability theory

#### **Evaluation:**

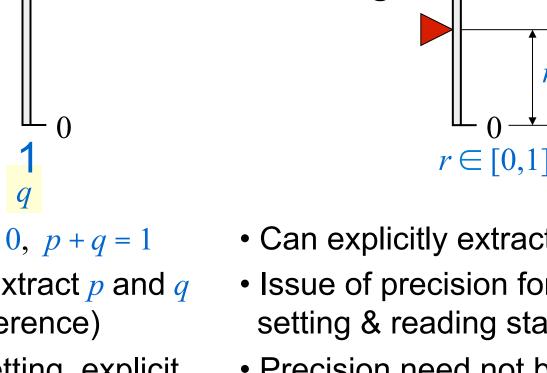
- 5 assignments (12% each)
- project presentation (40%)

#### Recommended texts:

An Introduction to Quantum Computation, P. Kaye, R. Laflamme, M. Mosca (Oxford University Press, 2007). Primary reference.

Quantum Computation and Quantum Information, Michael A. Nielsen and Isaac L. Chuang (Cambridge University Press, 2000). Secondary reference.

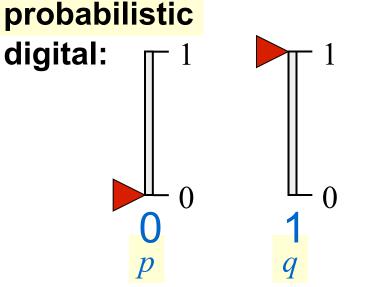
# Basic framework of quantum information



- Issue of precision (imperfect ok)



#### **Types of information** is quantum information digital or analog?



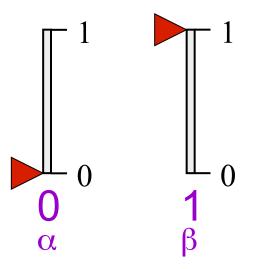
- Probabilities  $p, q \ge 0, p + q = 1$
- Cannot explicitly extract p and q (only statistical inference)
- In any concrete setting, explicit state is 0 or 1

Can explicitly extract r

analog:

- Issue of precision for setting & reading state
- Precision need not be perfect to be useful

### **Quantum (digital) information**



- Amplitudes  $\alpha$ ,  $\beta \in \mathbb{C}$ ,  $|\alpha|^2 + |\beta|^2 = 1$
- Ampine -• Explicit state is  $\begin{bmatrix} \alpha \\ \beta \end{bmatrix}$
- Cannot explicitly extract α and β (only statistical inference)
- Issue of precision (imperfect ok)

## **Dirac bra/ket notation**

**Ket:**  $|\psi\rangle$  always denotes a column vector, e.g.

**Convention:** 
$$|0\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix} |1\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

**Bra:**  $\langle \Psi |$  always denotes a row vector that is the conjugate transpose of  $|\Psi \rangle$ , e.g.  $[\alpha_1^* \alpha_2^* \dots \alpha_d^*]$ 

<u>Bracket</u>:  $\langle \phi | \psi \rangle$  denotes  $\langle \phi | \cdot | \psi \rangle$ , the inner product of  $| \phi \rangle$  and  $| \psi \rangle$ 

 $\begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_n \end{bmatrix}$ 

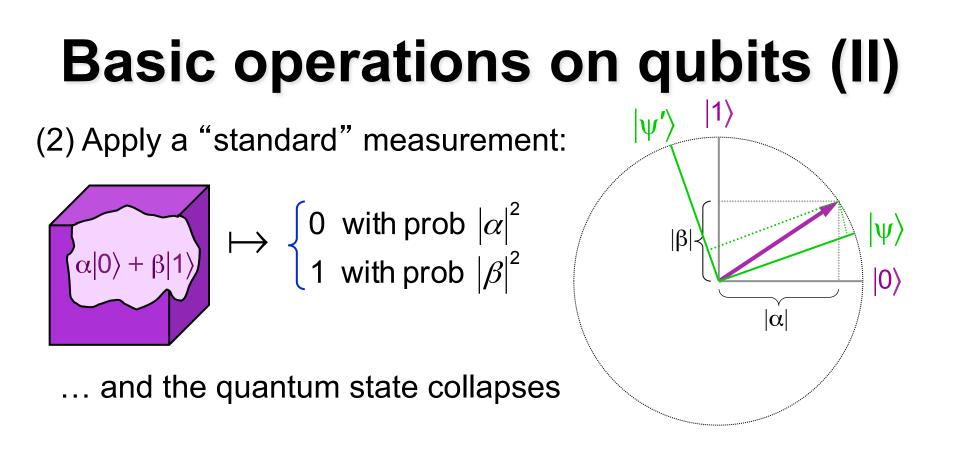
## **Basic operations on qubits (I)**

(0) Initialize qubit to  $|0\rangle$  or to  $|1\rangle$ 

(1) Apply a unitary operation  $U(U^{\dagger}U=I)$ 

#### **Examples:**

Rotation:
$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$
NOT (bit flip): $\sigma_x = X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ Hadamard: $H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ Phase flip: $\sigma_z = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ 



(\*) There exist *other* quantum operations, but they can all be "simulated" by the aforementioned types

**Example:** measurement with respect to a different orthonormal basis  $\{|\psi\rangle, |\psi'\rangle\}$ 

# Distinguishing between two states

Let be in state 
$$|+\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$
 or  $|-\rangle = \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$ 

**Question 1:** can we distinguish between the two cases?

#### **Distinguishing procedure:**

- 1. apply H
- 2. measure

This works because  $H |+\rangle = |0\rangle$  and  $H |-\rangle = |1\rangle$ 

**Question 2:** can we distinguish between  $|0\rangle$  and  $|+\rangle$ ?

Since they' re not orthogonal, they *cannot* be *perfectly* distinguished ...

### n-qubit systems

Probabilistic states:

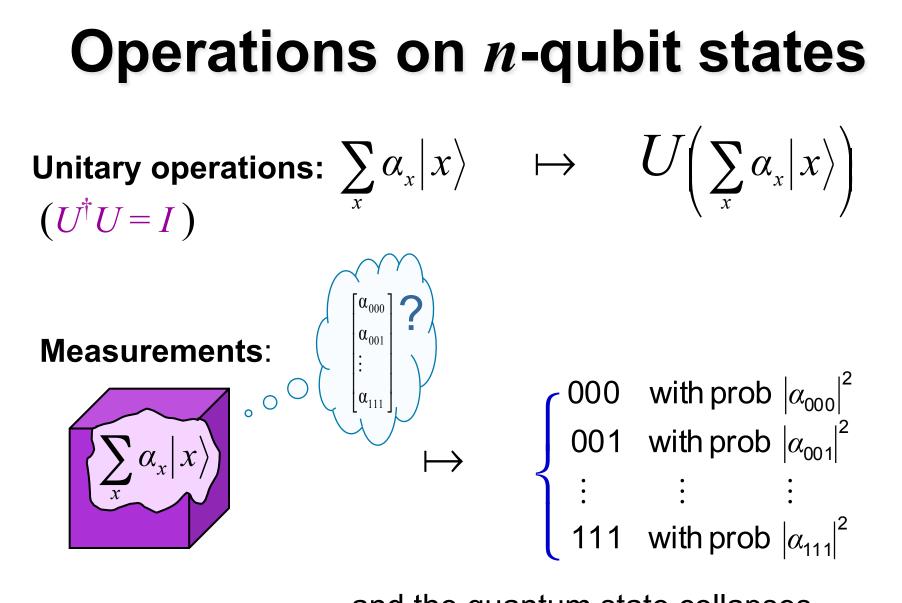
$$\forall x, \ p_x \ge 0$$
$$\sum_{x} p_x = 1$$

 $p_{000}$  $\alpha_{000}$ Quantum states:  $\forall x, \ \alpha_x \in C$  $\sum_x |\alpha_x|^2 = 1$  $\alpha_{001}$  $p_{001}$ α<sub>010</sub>  $p_{010}$  $\alpha_{011}$  $p_{011}$  $\alpha_{100}$  $p_{100}$  $p_{101}$  $\alpha_{101}$ *p*<sub>110</sub>  $\alpha_{110}$ 

15

Dirac notation:  $|000\rangle$ ,  $|001\rangle$ ,  $|010\rangle$ , ...,  $|111\rangle$  are basis vectors,

so 
$$|\psi\rangle = \sum_{x} \alpha_{x} |x\rangle$$



... and the quantum state collapses

## Entanglement

*Product* state (tensor/Kronecker product):

$$(\alpha |0\rangle + \beta |1\rangle)(\alpha'|0\rangle + \beta'|1\rangle) = \alpha \alpha'|00\rangle + \alpha \beta'|01\rangle + \beta \alpha'|10\rangle + \beta \beta'|11\rangle$$

Example of an *entangled* state:  $\frac{1}{\sqrt{2}}|00\rangle + \frac{1}{\sqrt{2}}|11\rangle$ 

... can exhibit interesting "nonlocal" correlations:



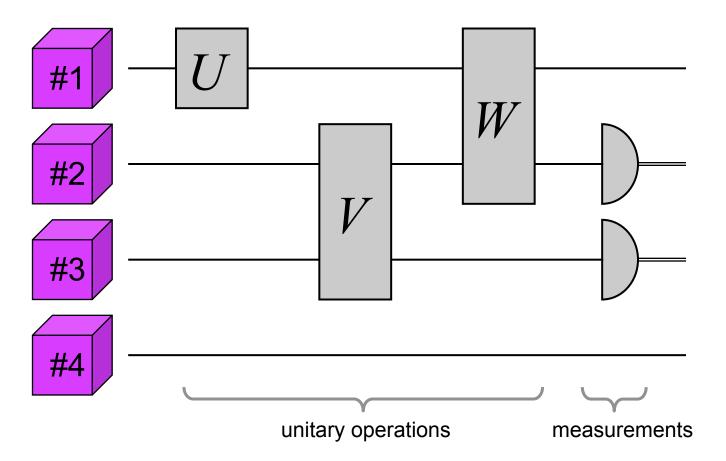
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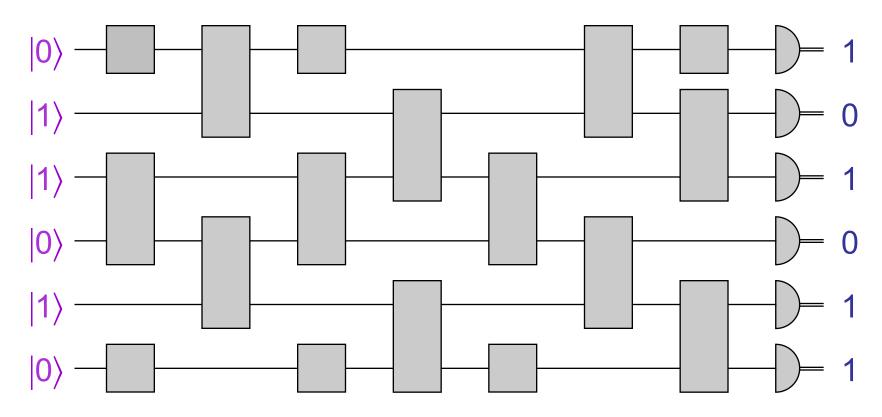
## Structure among subsystems

qubits: time



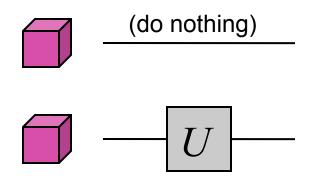
## **Quantum computations**

#### Quantum circuits:



"Feasible" if circuit-size scales polynomially

# Example of a one-qubit gate applied to a two-qubit system



$$U = \begin{bmatrix} u_{00} & u_{01} \\ u_{10} & u_{11} \end{bmatrix}$$

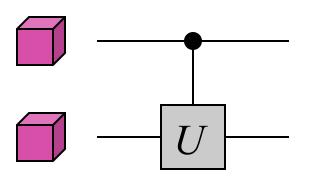
Maps basis states as:

 $\begin{aligned} |0\rangle|0\rangle &\rightarrow |0\rangle U|0\rangle \\ |0\rangle|1\rangle &\rightarrow |0\rangle U|1\rangle \\ |1\rangle|0\rangle &\rightarrow |1\rangle U|0\rangle \\ |1\rangle|1\rangle &\rightarrow |1\rangle U|1\rangle \end{aligned}$ 

#### The resulting 4x4 matrix is

$$I \otimes U = \begin{bmatrix} u_{00} & u_{01} & 0 & 0 \\ u_{10} & u_{11} & 0 & 0 \\ 0 & 0 & u_{00} & u_{01} \\ 0 & 0 & u_{10} & u_{11} \end{bmatrix}$$

### **Controlled-***U* **gates**



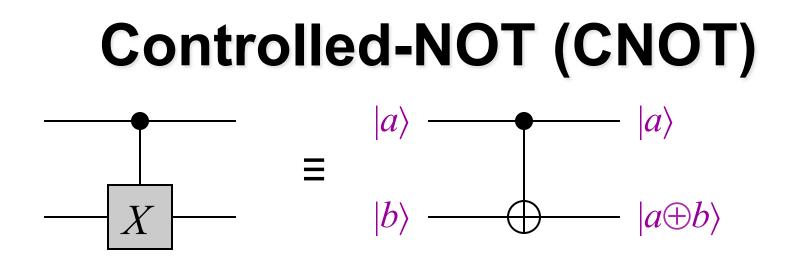
#### Maps basis states as:

$$\begin{aligned} |0\rangle|0\rangle &\rightarrow |0\rangle|0\rangle \\ |0\rangle|1\rangle &\rightarrow |0\rangle|1\rangle \\ |1\rangle|0\rangle &\rightarrow |1\rangle U|0\rangle \\ |1\rangle|1\rangle &\rightarrow |1\rangle U|1\rangle \end{aligned}$$

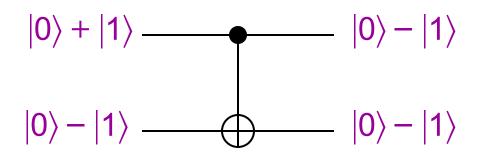
$$U = \begin{bmatrix} u_{00} & u_{01} \\ u_{10} & u_{11} \end{bmatrix}$$

Resulting 4x4 matrix is controlled-U =

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & u_{00} & u_{01} \\ 0 & 0 & u_{10} & u_{11} \end{bmatrix}$$



**Note:** "control" qubit may change on some input states



# Superdense coding

#### How much classical information in *n* qubits?

 $2^{n}$ -1 complex numbers apparently needed to describe an arbitrary *n*-qubit pure quantum state:

 $\alpha_{000}|000\rangle + \alpha_{001}|001\rangle + \alpha_{010}|010\rangle + ... + \alpha_{111}|111\rangle$ 

Does this mean that an exponential amount of classical information is somehow stored in *n* qubits?

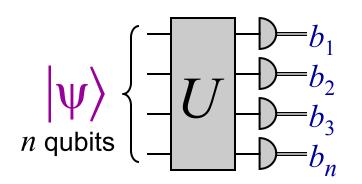
#### Not in an operational sense ...

For example, Holevo's Theorem (from 1973) implies: one cannot convey more than n classical bits of information in n qubits

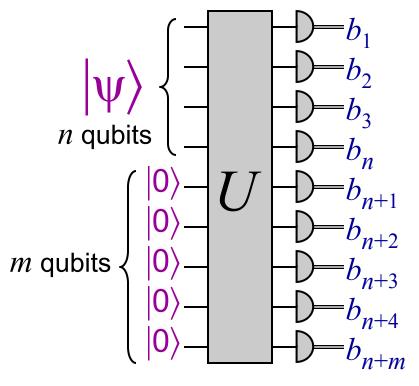
## **Holevo's Theorem**

Easy case:

Hard case (the general case):



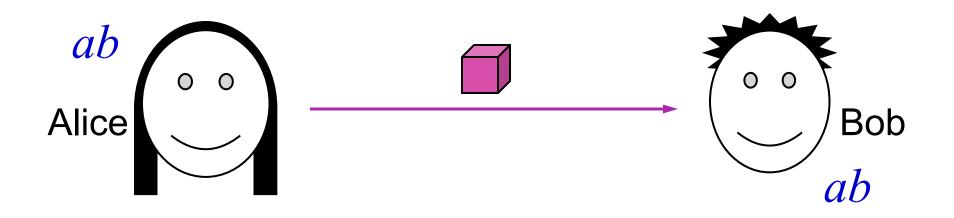
 $b_1b_2 \dots b_n$  certainly cannot convey more than *n* bits!



The difficult proof is beyond the scope of this course

# Superdense coding (prelude)

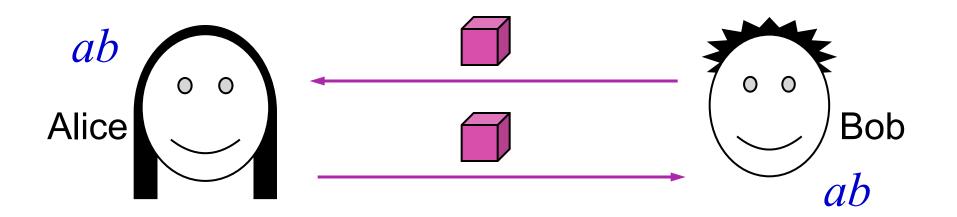
Suppose that Alice wants to convey *two* classical bits to Bob sending just *one* qubit



By Holevo's Theorem, this is *impossible* 

## **Superdense coding**

In *superdense coding*, Bob is allowed to send a qubit to Alice first

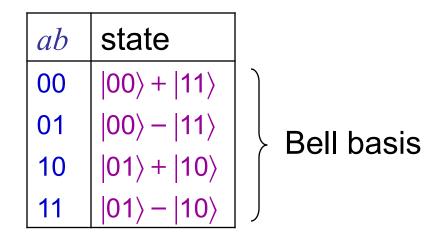


How can this help?

#### How superdense coding works

- 1. Bob creates the state  $|00\rangle + |11\rangle$  and sends the *first* qubit to Alice
- 2. Alice: if a = 1 then apply X to qubit if b = 1 then apply Z to qubit send the qubit back to Bob

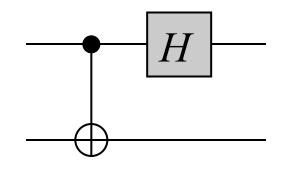
$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \qquad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$



3. Bob measures the two qubits in the Bell basis

## Measurement in the Bell basis

Specifically, Bob applies



input	output
00 angle +  11 angle	00 angle
01 angle+ 10 angle	01 angle
00 angle -  11 angle	10>
01 angle -  10 angle	$ 11\rangle$

to his two qubits ...

and then measures them, yielding *ab* 

This concludes superdense coding

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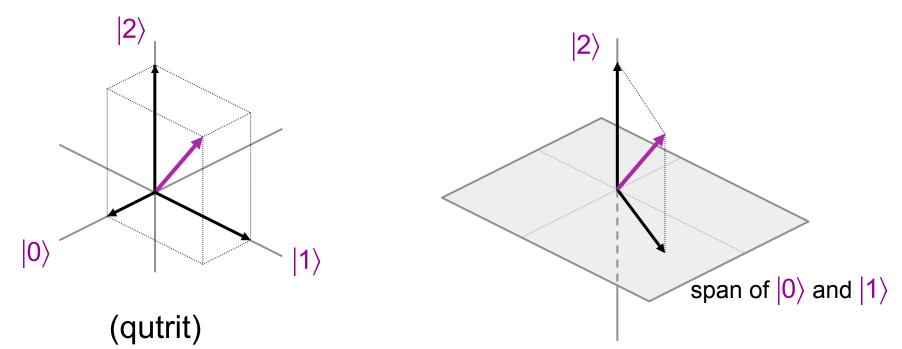
# Teleportation

## Recap

- *n*-qubit quantum state: 2<sup>*n*</sup>-dimensional unit vector

# Incomplete measurements (I)

Measurements up until now are with respect to orthogonal one-dimensional subspaces: The orthogonal subspaces can have other dimensions:



## Incomplete measurements (II)

Such a measurement on  $\alpha_0 |0\rangle + \alpha_1 |1\rangle + \alpha_2 |2\rangle$ 

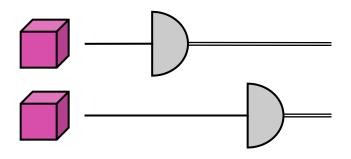
(renormalized) results in  $\begin{cases} \alpha_0 |0\rangle + \alpha_1 |1\rangle & \text{with prob } |\alpha_0|^2 + |\alpha_1|^2 \\ |2\rangle & \text{with prob } |\alpha_2|^2 \end{cases}$ 

#### Measuring the first qubit of a two-qubit system

**Defined** as the incomplete measurement with respect to the two dimensional subspaces:

- span of  $|00\rangle \& |01\rangle$  (all states with first qubit 0), and
- span of  $|10\rangle \& |11\rangle$  (all states with first qubit 1)

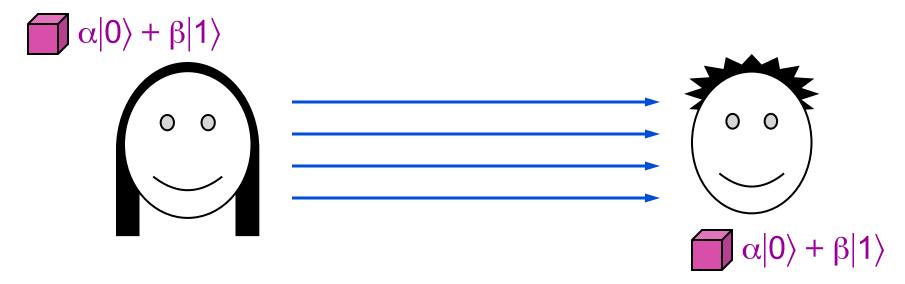
Result is  $\begin{cases} 0, \ \alpha_{00} |00\rangle + \alpha_{01} |01\rangle & \text{with prob } |\alpha_{00}|^2 + |\alpha_{01}|^2 \\ 1, \ \alpha_{10} |10\rangle + \alpha_{11} |11\rangle & \text{with prob } |\alpha_{10}|^2 + |\alpha_{11}|^2 \end{cases}$ 



**Easy exercise:** show that measuring the first qubit and *then* measuring the second qubit gives the same result as measuring both qubits at once

### **Teleportation (prelude)**

Suppose Alice wishes to convey a qubit to Bob by sending just classical bits

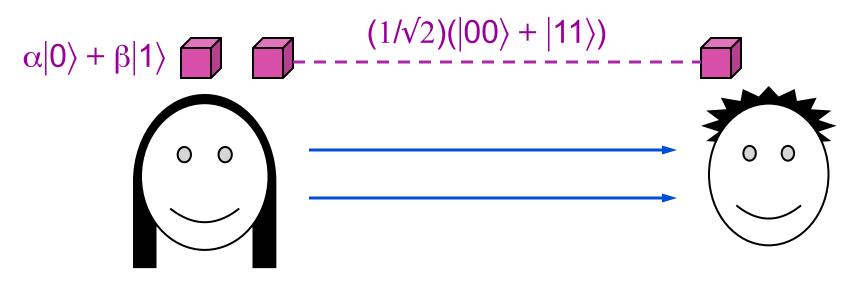


If Alice *knows*  $\alpha$  and  $\beta$ , she can send approximations of them —but this still requires infinitely many bits for perfect precision

Moreover, if Alice does *not* know  $\alpha$  or  $\beta$ , she can at best acquire *one bit* about them by a measurement

#### **Teleportation scenario**

In teleportation, Alice and Bob also start with a Bell state



and Alice can send two classical bits to Bob

Note that the initial state of the three qubit system is:  $(1/\sqrt{2})(\alpha|0\rangle + \beta|1\rangle)(|00\rangle + |11\rangle)$  $= (1/\sqrt{2})(\alpha|000\rangle + \alpha|011\rangle + \beta|100\rangle + \beta|111\rangle)$ 

# How teleportation works

**Initial state:**  $(\alpha|0\rangle + \beta|1\rangle)(|00\rangle + |11\rangle)$  (omitting the 1/ $\sqrt{2}$  factor)

 $= \alpha |000\rangle + \alpha |011\rangle + \beta |100\rangle + \beta |111\rangle$ 

 $= \frac{1}{2} (|00\rangle + |11\rangle)(\alpha|0\rangle + \beta|1\rangle)$ +  $\frac{1}{2} (|01\rangle + |10\rangle)(\alpha|1\rangle + \beta|0\rangle)$ +  $\frac{1}{2} (|00\rangle - |11\rangle)(\alpha|0\rangle - \beta|1\rangle)$ +  $\frac{1}{2} (|01\rangle - |10\rangle)(\alpha|1\rangle - \beta|0\rangle)$ 

**Protocol:** Alice measures her two qubits *in the Bell basis* and sends the result to Bob (who then "corrects" his state)<sub>40</sub>

#### What Alice does specifically

Alice applies

to her two qubits, yielding:

 $\begin{cases} \frac{1}{2}|00\rangle(\alpha|0\rangle + \beta|1\rangle) \\ + \frac{1}{2}|01\rangle(\alpha|1\rangle + \beta|0\rangle) \\ + \frac{1}{2}|10\rangle(\alpha|0\rangle - \beta|1\rangle) \\ + \frac{1}{2}|11\rangle(\alpha|1\rangle - \beta|0\rangle) \end{cases} \longrightarrow \begin{cases} (00, \alpha|0\rangle + \beta|1\rangle) \\ (01, \alpha|1\rangle + \beta|0\rangle) \\ (10, \alpha|0\rangle - \beta|1\rangle) \\ (11, \alpha|1\rangle - \beta|0\rangle) \end{cases} \text{ with prob. } \frac{1}{4} \\ (11, \alpha|1\rangle - \beta|0\rangle) \end{cases}$ 

Then Alice sends her two classical bits to Bob, who then adjusts his qubit to be  $\alpha |0\rangle + \beta |1\rangle$  whatever case occurs

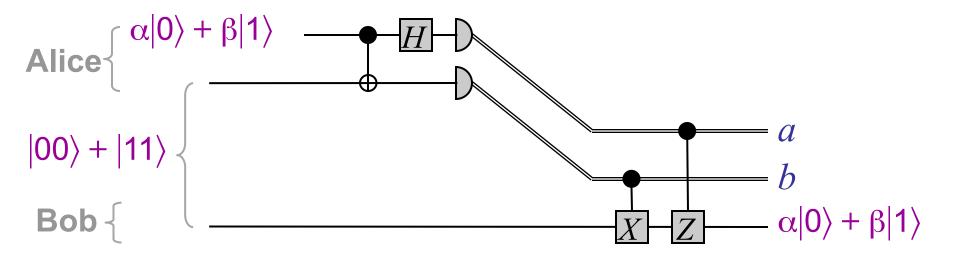
#### **Bob's adjustment procedure**

Bob receives two classical bits a, b from Alice, and:

$$\begin{array}{l} \text{if } b = 1 \text{ he applies } X \text{ to qubit} \\ \text{if } a = 1 \text{ he applies } Z \text{ to qubit} \end{array} \qquad X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \qquad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ \begin{array}{l} \text{yielding:} \\ \begin{array}{l} 00, & \alpha |0\rangle + \beta |1\rangle \\ 01, & X(\alpha |1\rangle + \beta |0\rangle) = \alpha |0\rangle + \beta |1\rangle \\ 10, & Z(\alpha |0\rangle - \beta |1\rangle) = \alpha |0\rangle + \beta |1\rangle \\ 11, & ZX(\alpha |1\rangle - \beta |0\rangle) = \alpha |0\rangle + \beta |1\rangle \end{array}$$

Note that Bob acquires the correct state in each case

#### **Summary of teleportation**



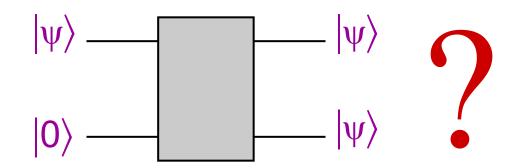
**Quantum circuit exercise:** try to work through the details of the analysis of this teleportation protocol

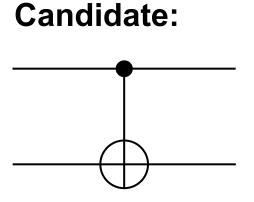
### No-cloning theorem

#### **Classical** information can be copied



#### What about quantum information?





works fine for  $|\psi\rangle = |0\rangle$  and  $|\psi\rangle = |1\rangle$ 

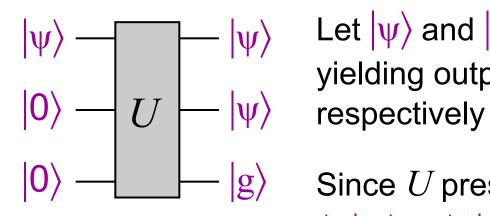
... but it fails for  $|\psi\rangle = (1/\sqrt{2})(|0\rangle + |1\rangle)$  ...

... where it yields output  $(1/\sqrt{2})(|00\rangle + |11\rangle)$ instead of  $|\psi\rangle|\psi\rangle = (1/4)(|00\rangle + |01\rangle + |10\rangle + |11\rangle)$ 

### **No-cloning theorem**

**Theorem:** there is *no* valid quantum operation that maps an arbitrary state  $|\psi\rangle$  to  $|\psi\rangle|\psi\rangle$ 

**Proof:** 



Let  $|\psi\rangle$  and  $|\psi'\rangle$  be two input states, yielding outputs  $|\psi\rangle|\psi\rangle|g\rangle$  and  $|\psi'\rangle|\psi'\rangle|g'\rangle$ respectively

Since U preserves inner products:  $\langle \psi | \psi' \rangle = \langle \psi | \psi' \rangle \langle \psi | \psi' \rangle \langle g | g' \rangle$  so  $\langle \psi | \psi' \rangle (1 - \langle \psi | \psi' \rangle \langle g | g' \rangle) = 0$  so  $|\langle \psi | \psi' \rangle| = 0$  or 1

#### **Classical computations as circuits**

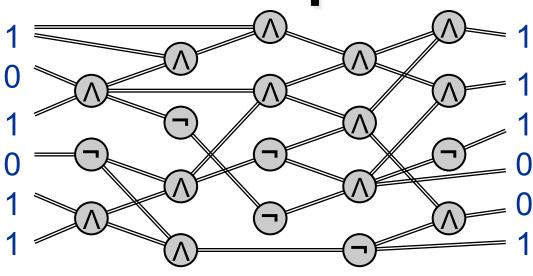
#### **Classical (boolean logic) gates**

"old" notation"new" notationAND gate $a \rightarrow b$  $a \wedge b$  $b \rightarrow b \rightarrow a \wedge b$  $b \rightarrow b \rightarrow a \wedge b$ NOT gate $a \rightarrow a \rightarrow a$ 

**Note:** an **OR** gate can be simulated by one **AND** gate and three **NOT** gates (since  $a \lor b = \neg(\neg a \land \neg b)$ )

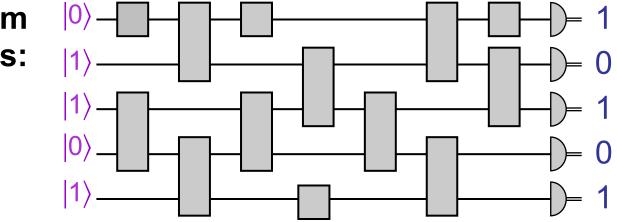
#### **Models of computation**

#### Classical circuits:



data flow

Quantum circuits:



#### **Multiplication problem**

**Input:** two *n*-bit numbers (e.g. 101 and 111)

**Output:** their product (e.g. 100011)

- "Grade school" algorithm costs  $O(n^2)$  [scales up polynomially]
- Best currently-known *classical* algorithm costs slightly less than O(n log n loglog n) [to be precise O(n log n 2<sup>log\*n</sup>)]
- Best currently-known *quantum* method: same

### **Factoring problem**

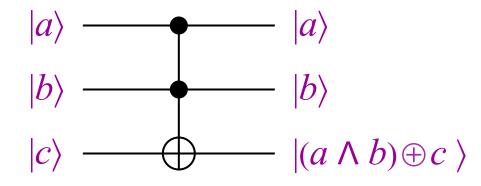
**Input:** an *n*-bit number (e.g. 100011) **Output:** their product (e.g. 101, 111)

- Trial division costs  $\approx 2^{n/2}$
- Best currently-known *classical* algorithm costs  $\approx 2^{n^{\frac{1}{3}}}$ [to be more precise  $2^{O(n^{1/3}\log^{2/3}n)}$  and this scaling is *not* polynomial]
- The presumed hardness of factoring is the basis of the security of many cryptosystems (e.g. RSA)
- Shors *quantum* algorithm costs  $\approx n^2$  [less than  $O(n^2 \log n \log \log n)$ ]
- Implementation would break RSA and many other publickey cryptosystems 52

## Simulating *classical* circuits with *quantum* circuits

#### **Toffoli gate**

(Sometimes called a "controlled-controlled-NOT" gate)



In the computational basis, it negates the third qubit iff the first two qubits are both  $|0\rangle$ 

Matrix representation:

(1	0	0	0	0	0	0	0
0	1	0	0	0			0
0	0	1	0	0	0	0	0
0	0		1	0	0		
0	0	0	0	1	0		
0	0		0	0	1	0	0
0	0	0	0	0	0		1
$\left( 0 \right)$	0	0	0	0	0	1	0

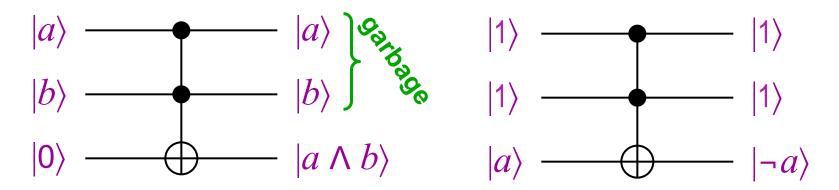
#### **Quantum simulation of classical**

**Theorem:** a classical circuit of size s can be simulated by a quantum circuit of size O(s)

Idea: using Toffoli gates, one can simulate:

AND gates

**NOT** gates



This garbage will have to be reckoned with later on ...

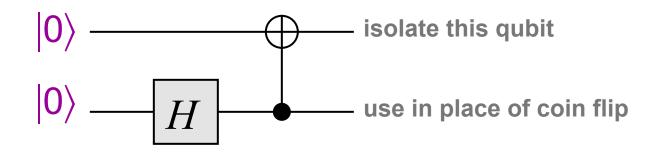
#### Simulating probabilistic algorithms

Since quantum gates can simulate **AND** and **NOT**, the outstanding issue is how to simulate randomness

To simulate "coin flips", one can use the circuit:

$$|0\rangle - H$$
 random bit

It can also be done without intermediate measurements:



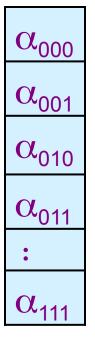
**Exercise:** prove that this works

### Simulating *quantum* circuits with *classical* circuits

#### **Classical simulation of quantum**

**Theorem:** a quantum circuit of size *s* acting on *n* qubits can be simulated by a classical circuit of size  $O(sn^22^n) = O(2^{cn})$ 

**Idea:** to simulate an *n*-qubit state, use an array of size  $2^n$  containing values of all  $2^n$  amplitudes within precision  $2^{-n}$ 



Can adjust this state vector whenever a unitary operation is performed at cost  $O(n^2 2^n)$ 

From the final amplitudes, can determine how to set each output bit

**Exercise:** show how to do the simulation using only a polynomial amount of *space* (memory)

#### Some complexity classes

- P (polynomial time): the problems solved by O(n<sup>c</sup>)-size classical circuits [technically, we restrict to decision problems and to "uniform circuit families"]
- BPP (bounded error probabilistic polynomial time): the problems solved by O(n<sup>c</sup>)-size probabilistic circuits that err with probability ≤ ¼
- BQP (bounded error quantum polynomial time): the problems solved by O(n<sup>c</sup>)-size quantum circuits that err with probability ≤ ¼
- EXP (exponential time):

the problems solved by  $O(2^{n^c})$ -size circuits

#### Summary of basic containments

